

Priestley powers of lattices and their congruences. A problem of E. T. Schmidt

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For Professor E.T. Schmidt on his sixtieth birthday

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Abstract. Let L be a lattice and M a bounded distributive lattice. Let $\text{Con } L$ denote the congruence lattice of L , $P(M)$ the Priestley dual space of M , and $L^{P(M)}$ the lattice of continuous order-preserving maps from $P(M)$ to L with the discrete topology. It is shown that $\text{Con}(L^{P(M)}) \cong (\text{Con } L)_{\Lambda}^{P(\text{Con } M)}$, the lattice of continuous order-preserving maps from $P(\text{Con } M)$ to $\text{Con } L$ with the Lawson topology. Various other ways of expressing $\text{Con}(L^P)$ as a lattice of continuous functions or semilattice homomorphisms are presented. Indeed, a link is established between semilattice homomorphisms from a semilattice S into a bounded distributive lattice T (or its ideal lattice) and continuous order-preserving maps from $P(T)$ into the ideal lattice of S with the Scott, Lawson, or discrete topology. It is also shown that, in general, $\text{Con}(L^{P(M)}) \not\cong (\text{Con } L)^{P(\text{Con } M)}$, solving a problem of E. T. Schmidt (independently solved by Grätzer and Schmidt).

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1. Introduction

A *Priestley power* of a (semi-) lattice L is a (semi-) lattice L^P of continuous order-preserving maps from a Priestley space P to L , where L has the discrete topology (cf. [17], p. 105). (The maps are ordered pointwise.) Every Priestley space arises as the poset of prime filters $P(M)$ of a bounded distributive lattice M , appropriately topologized. Hence Boolean powers ([2], Definition IV.5.3) are a special case. If L and M belong to the category \mathbf{D} of bounded distributive lattices, then $L^{P(M)}$ is the coproduct of L and M in \mathbf{D} ([5], Corollary 2.3; [6], Theorem and Corollary; [24], Theorem).

In [26], the following problem is stated.

Problem. [26]. *If L is a lattice and M a bounded distributive lattice, is the congruence lattice $\text{Con}(L^{P(M)}) \cong (\text{Con } L)^{P(\text{Con } M)}$?*

The problem has been solved in the affirmative for arbitrary L and finite M ([9], Theorem 2.1) as well as for finite L and arbitrary M ([26], Theorem). We solve the problem completely by showing that

$$\text{Con}(L^{P(M)}) \cong (\text{Con } L)_{\Lambda}^{P(\text{Con } M)},$$

the lattice of continuous order-preserving maps from $P(\text{Con } M)$ to $\text{Con } L$ with the Lawson topology Λ (Corollary 6.11). We present an example to show that, in general,

$$\text{Con}(L^{P(M)}) \not\cong (\text{Con } L)^{P(\text{Con } M)},$$

(Proposition 7.4). Grätzer and Schmidt have proven that the isomorphism holds if and only if either $\text{Con } L$ is finite or M is finite ([15], Theorem 3). Our results were proven independently.

Our approach is to use the results for finite exponents to get the corresponding results for Priestley powers. By [28], Theorem, every Priestley space P is the inverse limit of a filtered system of finite posets Q with the discrete topology. Hence every Priestley power L^P is the filtered limit of lattices L^Q . Using an idea of [23], pp. 98–100, we can capture the congruence lattice of such a limit if we know $\text{Con}(L^Q)$ for every L^Q in the system. By [9], Theorem 2.1, we do. (This approach was also taken in [15], §4, but certain non-trivial steps were passed over without proof.)

We represent various types of posets of continuous order-preserving maps as posets of semilattice homomorphisms (Theorem 3.6, Corollaries 3.7 and 3.8). For example, if S is a semilattice with least element 0 and $T \in \mathbf{D}$, then

$$\text{Slat}(S, T) \cong (S_{\Lambda}^{\sigma\delta})^{P(T)},$$

where S^σ is the ideal lattice of S and $S^{\sigma\partial}$ this lattice ordered by reverse inclusion.

These representations enable us to provide several alternative representations of $\text{Con}(L^P)$. For example,

$$\text{Comp}(L^P) \cong (\text{Comp } L)^{\bar{P}}$$

where L is a lattice, P a Priestley space, \bar{P} the same space with the trivial order, and $\text{Comp } L$ the semilattice of compact congruences of L (Theorem 5.10). Also

$$\text{Con}(L^P) \cong (\text{Con } L)_{\Sigma}^{\bar{P}},$$

the lattice of continuous maps from P to $\text{Con } L$ where the latter has the Scott topology Σ (Theorem 6.7). Alternatively, if $M \in \mathbf{D}$, then

$$\text{Con}(L^{P(M)}) \cong \mathbf{Slat}\left((\text{Comp } L, \vee, 0_{\text{Con } L}), (M_{\text{Bool}}^\sigma, \cap, M_{\text{Bool}})\right),$$

the lattice of semilattice homomorphisms from the $\{0\}$ - \vee -semilattice $\text{Comp } L$ to the $\{1\}$ - \cap -semilattice M_{Bool}^σ , where M_{Bool} is the minimal Boolean extension of M (Corollary 6.8). Also

$$\text{Con}(L^{P(M)}) \cong \mathbf{Slat}\left((\text{Comp } L, \vee, 0_{\text{Con } L}), (\text{Con } M, \cap, 1_{\text{Con } M})\right)$$

(Corollary 6.10). These representations enable us to relate special cases of our results to those of [3] and [14] on semilattice homomorphisms between distributive lattices. In particular, we prove that $\mathbf{Slat}(L, L)$ is self-dual for a finite distributive lattice L (Corollary 3.9). Finally, our representations let us construct the example which yields a negative solution to the problem.

2. Notation, definitions, and basic theory

Let us introduce notation and remind ourselves of some definitions and basic results. (See [7], [16], *inter alia.*) If a poset P has a least element, we denote it 0_P or 0 ; if it has a greatest element, we denote it 1_P or 1 . A poset with 0 and 1 is *bounded*.

Denote the ordinal sum of posets P and Q by $P \oplus Q$. Let $\mathcal{P}(X)$ denote the power set of the set X . Let $\mathbf{1}$ denote the one-one element poset.

Let P be a poset and Q and S subsets. Then $\uparrow_Q S$ denotes

$$\{p \in Q \mid s \leq p \text{ for some } s \in S\}$$

and $\downarrow_Q S$ denotes

$$\{p \in Q \mid s \geq p \text{ for some } s \in S\}.$$

We also write $\uparrow S$ for $\uparrow_P S$ and $\downarrow S$ for $\downarrow_P S$. For $s \in P$, we use $\uparrow s$ and $\downarrow s$ for $\uparrow\{s\}$ and $\downarrow\{s\}$, respectively. If $S = \uparrow S$, it is an *up-set*; if $S = \downarrow S$, it is a *down-set*. A non-empty subset D of P is *directed* if every finite subset of D has an upper bound in D . If D has a join it is denoted $\bigsqcup D$. (The special notation, which is standard, serves as a convenient reminder that the set under consideration is directed.) An *ideal* is a directed down-set; the set of all such, ordered by inclusion, is denoted P^σ . A *filtered* subset of P is a directed subset of the poset P^∂ whose order is dual to that of P . A *filter* is an ideal of P^∂ . The poset of filters of P is denoted P^π .

An element k of a poset P is *compact* if, for all directed subsets D of P such that $\bigsqcup D$ exists and $p \leq \bigsqcup D$, there exists $d \in D$ such that $k \leq d$. The poset of compact elements is denoted $\kappa(P)$. If P is a complete lattice, an element $k \in P$ is compact if and only if, for all $S \subseteq P$ such that $k \leq \bigvee S$, there exists a finite subset $T \subseteq S$ such that $k \leq \bigvee T$ ([7], Lemma 3.22). An *algebraic lattice* is a complete lattice such that every element is a join of compact elements.

The class of semilattices with neutral element is denoted **Slat**. [The neutral element is 0 for \vee -semilattices and 1 for \wedge -semilattices ([4], p. 50).] If S and $T \in \mathbf{Slat}$, then $\mathbf{Slat}(S, T)$ denotes the poset of **Slat**-morphisms from S to T ordered pointwise, i.e., for $f, g \in \mathbf{Slat}(S, T)$, $f \leq g$ if $f(s) \leq g(s)$ for all $s \in S$. The subset of **Slat**-morphisms f whose images $\text{Im } f$ are finite is denoted $\mathbf{Slat}^{\text{fin}}(S, T)$. Let **Lat** be the class of lattices. We regard **Slat** and **Lat** as categories with the appropriate morphisms.

A \vee -semilattice S with 0 is *distributive* if, whenever $a, x, y \in S$ and

$$a \leq x \vee y,$$

there exist $b, c \in S$ such that $b \leq x$, $c \leq y$, and $a = b \vee c$. Equivalently, S^σ is a distributive lattice. We shall use Stone duality for the class **DSlat** of distributive \vee -semilattices with 0 ([13], II.5).

A proper ideal I of $S \in \mathbf{DSlat}$ is *prime* if, whenever $a, b \in S$ and $c \leq a, b$ implies $c \in I$ for all $c \in S$, then $a \in I$ or $b \in I$. For all $a \in S$, let

$$\hat{a} := \{I \in S^\sigma \mid I \text{ prime, } a \notin I\}.$$

Let $\mathcal{S}(S)$ be the set of prime ideals of S with the topology generated by the basis $\{\hat{a} \mid a \in S\}$. Then $\mathcal{S}(S)$ is the *Stone space* of S .

Given a topological space X , let $\mathcal{O}(X)$ denote the bounded distributive lattice of open sets and $\mathcal{CO}(X)$ the \cup -semilattice with least element \emptyset of compact open

sets. A topological space is *sober* if every non-empty \cup -prime (i.e., \cup -irreducible) closed set is the closure of a point. Stone spaces may be abstractly characterized as sober T_0 spaces X such that $\mathcal{CO}(X)$ is a basis. See [29], Lemma 1, Lemma 3, and Satz 4. Indeed, if $S \in \mathbf{DSlat}$, then $\mathcal{CO}(S(S)) = \{\hat{a} \mid a \in S\}$. The map $a \mapsto \hat{a}$ ($a \in S$) is an isomorphism from S onto $\mathcal{CO}(S(S))$ ([29], pp. 360–361).

Given $L \in \mathbf{Lat}$, let $\text{Con } L$ denote the lattice of congruences of L . It is well-known that $\text{Con } L$ is a distributive algebraic lattice ([1], Theorem II.9.15). For $X \subseteq L \times L$, let

$$\vartheta^L(X) := \bigcap \{ \theta \in \text{Con } L \mid X \subseteq \theta \}.$$

Let $\text{Comp } L := \kappa(\text{Con } L)$. It is well-known that

$$\text{Comp } L = \left\{ \bigvee_{i=1}^n \vartheta^L(a_i, b_i) \mid n \geq 0, a_i, b_i \in L \ (i = 1, \dots, n) \right\}.$$

If $M \in \mathbf{Lat}$ and $f: L \rightarrow M$ is a homomorphism, let

$$\text{Comp}(f): \text{Comp}(L) \rightarrow \text{Comp}(M)$$

denote the function ([23], p. 98)

$$[\text{Comp}(f)](\theta) := \vartheta^M((f \times f)[\theta]) \quad (\theta \in \text{Comp } L).$$

If A is an algebraic lattice, then $(\kappa(A), \vee, 0_A) \in \mathbf{Slat}$ and $(\kappa(A))^\sigma \cong A$ via the map $I \mapsto \bigsqcup I$ ($I \in \kappa(A)^\sigma$) with inverse $a \mapsto \downarrow_{\kappa(A)} a$ ($a \in A$). Further, if $(S, \vee, 0_S) \in \mathbf{Slat}$, then S^σ is an algebraic lattice and $\kappa(S^\sigma) = \{\downarrow s \mid s \in S\}$, which is isomorphic to S . (See [10], Corollary 2.) Similarly, if S is a bounded lattice then $\kappa(S^\pi) = \{\uparrow s \mid s \in S\}$.

If A is an algebraic lattice, the *Scott topology* is the topology

$$\Sigma := \{ U \subseteq A \mid U = \uparrow U \text{ and for all directed } D \subseteq A, \bigsqcup D \in U \implies D \cap U \neq \emptyset \}.$$

The *Lawson topology* is the topology Λ on A generated by the subbasis

$$\Sigma \cup \{ A \setminus \uparrow a \mid a \in A \}.$$

(See [12], pp. 99, 144.)

If P and Q are ordered spaces and Q has topology τ , Q_τ^P is the poset of continuous order-preserving maps from P to Q ordered pointwise; Q^P is Q_τ^P where τ is the discrete topology.

An ordered space P is *totally order-disconnected* if, for all $p, q \in P$ such that $p \not\leq q$, there exists a clopen up-set $U \subseteq P$ such that $p \in U$, $q \notin U$. A *Priestley space* is a compact totally order-disconnected ordered space. Let \mathbf{P} denote the category of Priestley spaces with continuous order-preserving maps. Let \mathbf{P}^{fin} denote the full subcategory of finite Priestley spaces. By the proofs of [12], Theorems III.1.9 and III.1.10, an algebraic lattice with the Lawson topology is a Priestley space.

If P is an ordered space, let $D(P)$ denote the set of clopen up-sets of P ; let $\mathcal{U}(P)$ denote the set of open up-sets.

Let \mathbf{D} denote the category of bounded distributive lattices with $\{0, 1\}$ -homomorphisms (homomorphisms preserving 0 and 1). For $L \in \mathbf{D}$, let $P(L)$ denote the Priestley space of prime filters of L , appropriately topologized. Let $\mathcal{J}(L)$ denote the poset of join-irreducible elements of L . For $a \in L$, let

$$\rho_L(a) := \{F \in P(L) \mid a \in F\}.$$

It is well-known that \mathbf{D} and \mathbf{P} are dually equivalent categories, $D(-)$ and $P(-)$ being the functors yielding the dual equivalence. We shall identify a lattice with the clopen up-sets of its Priestley dual space and shall not differentiate between the abstract and concrete forms of the lattice. For the details of Priestley duality, see [20], [21].

If $L \in \mathbf{D}$, there is an isomorphism from L^σ to $\mathcal{U}(P(L))$. Refer to [22], §8; see also [7], 10.24.

If $P \in \mathbf{P}$, let \bar{P} denote the trivially ordered Priestley space with the same topology as P . We denote the minimal Boolean extension of $L \in \mathbf{D}$ by L_{Bool} . See [1], Definition V.4.5, [21], §6.

If $L \in \mathbf{D}$, then $\text{Con } L$ is dually isomorphic to the lattice of closed subsets of $P(L)$ ([7], 10.27).

Let P be a set, Π, Π_0 partitions of P . Let $\nu_\Pi: P \rightarrow \Pi$ be the map assigning each element of P its equivalence class. The set of partitions of P is ordered as follows: $\Pi \leq \Pi_0$ if every equivalence class of Π is contained in some equivalence class of Π_0 . If $\Pi \leq \Pi_0$, let

$$\nu_{\Pi, \Pi_0}: \Pi \rightarrow \Pi_0$$

be the map assigning each equivalence class of Π the unique equivalence class of Π_0 containing it.

Given a partition $\Pi := \{V_i\}_{i \in I}$ of a poset P into equivalence classes indexed by a set I , we define a quasiorder \leq_Π on Π as follows. Let \leq_Π be the transitive

closure of the relation \preceq_{Π} defined in this way: $V_i \preceq_{\Pi} V_j$ if $p \leq q$ for some $p \in V_i$, $q \in V_j$ ($i, j \in I$).

If $P \in \mathbf{P}$, denote by \mathcal{E}_P the ordered set of partitions Π of P into open equivalence classes such that (Π, \leq_{Π}) is partially ordered. Regard Π as a space with the discrete topology. The same partition Π with the antichain ordering is denoted $\overline{\Pi}$. Let $\overline{\mathcal{E}}_P := \{ \overline{\Pi} \mid \Pi \in \mathcal{E}_P \}$.

Let $P \in \mathbf{P}$, $M \in \mathbf{Lat} \cup \mathbf{Slat}$. For every $\Pi \in \mathcal{E}_P$, let $\mu_{\Pi}^M: M^{\Pi} \rightarrow M^P$ be defined by $\mu_{\Pi}^M(f) := f \circ \nu_{\Pi}$ ($f \in M^{\Pi}$).

For $\Pi, \Pi_0 \in \mathcal{E}_P$ such that $\Pi \leq \Pi_0$, let

$$\mu_{\Pi, \Pi_0}^M: M^{\Pi_0} \rightarrow M^{\Pi}$$

be defined by

$$\mu_{\Pi, \Pi_0}^M(f) := f \circ \nu_{\Pi, \Pi_0} \quad (f \in M^{\Pi_0}).$$

For $L \in \mathbf{Lat}$, $P \in \mathbf{P}^{\text{fin}}$, and $p \in P$, denote by χ_p the kernel of the p -th projection of L^P onto L . Define

$$\Gamma'_P: \text{Con}(L^P) \rightarrow (\text{Con } L)^{\overline{P}}$$

as follows: for $\theta \in \text{Con}(L^P)$ and $p \in P$, let

$$\begin{aligned} [\Gamma'_P(\theta)](p) := \{ (a, b) \in L \times L \mid (f, g) \in \theta \vee \chi_p \text{ for all } f, g \in L^P \\ \text{such that } f(p) = a, g(p) = b \}. \end{aligned}$$

Define

$$\Gamma_P: \text{Comp}(L^P) \rightarrow (\text{Comp } L)^{\overline{P}}$$

by $\Gamma_P(\theta) := \Gamma'_P(\theta)$ for all $\theta \in \text{Comp}(L^P)$. Define

$$\Delta'_P: (\text{Con } L)^{\overline{P}} \rightarrow \text{Con}(L^P)$$

as follows: for $F \in (\text{Con } L)^{\overline{P}}$, let

$$\Delta'_P(F) := \{ (f, g) \in L^P \times L^P \mid (f(p), g(p)) \in F(p) \text{ for all } p \in P \}.$$

Define

$$\Delta_P: (\text{Comp } L)^{\overline{P}} \rightarrow \text{Comp}(L^P)$$

by $\Delta_P(F) := \Delta'_P(F)$ for all $F \in (\text{Comp } L)^{\overline{P}}$. (That the above functions are well defined will be shown in Proposition 5.7.)

If $L \in \mathbf{Lat}$ and $P \in \mathbf{P}^{\text{fin}}$, $a, b \in L$, and $p_0 \in P$, define $m_P(a, b, p_0): P \rightarrow L$ for all $p \in P$ as follows:

$$[m_P(a, b, p_0)](p) := \begin{cases} a & \text{if } p = p_0, \\ a \vee b & \text{if } p > p_0, \\ a \wedge b & \text{else.} \end{cases}$$

Finally, we remind ourselves of basic categorical notions. Let \mathbf{C} be a category and F a filtered poset. Let $(C_i)_{i \in F}$ be a family of objects of \mathbf{C} and

$$(f_{ij}: C_j \rightarrow C_i)_{\substack{i, j \in F \\ i \leq j}}$$

a family of morphisms with the following properties:

- (1) $f_{ii} = \text{id}(C_i)$ for all $i \in F$;
- (2) $f_{ij} \circ f_{jk} = f_{ik}$ for all $i, j, k \in F$ such that $i \leq j \leq k$.

Then

$$\mathcal{S} := \left((C_i)_{i \in F}, (f_{ij}: C_j \rightarrow C_i)_{\substack{i, j \in F \\ i \leq j}} \right)$$

is a *filtered system* in \mathbf{C} . Assume $C \in \mathbf{C}$ and $(f_i: C_i \rightarrow C)_{i \in F}$ is a family of morphisms such that $i \leq j$ implies $f_i \circ f_{ij} = f_j$ ($i, j \in F$). Then

$$\left(C, (f_i: C_i \rightarrow C)_{i \in F} \right)$$

is *compatible* with the filtered system \mathcal{S} . Assume $\left(C, (f_i: C_i \rightarrow C)_{i \in F} \right)$ also has the property that, for any $\left(C', (f'_i: C_i \rightarrow C')_{i \in F} \right)$ compatible with \mathcal{S} , there is a unique morphism $f: C \rightarrow C'$ such that $f \circ f_i = f'_i$ for all $i \in F$. Then $\left(C, (f_i: C_i \rightarrow C)_{i \in F} \right)$ is a *filtered limit* of \mathcal{S} .

Let $(D_i)_{i \in F}$ be a family of objects of \mathbf{C} and

$$(g_{ij}: D_i \rightarrow D_j)_{\substack{i, j \in F \\ i \leq j}}$$

a family of morphisms with the following properties:

- (1) $g_{ii} = \text{id}(D_i)$ for all $i \in F$;
- (2) $g_{jk} \circ g_{ij} = g_{ik}$ for all $i, j, k \in F$ such that $i \leq j \leq k$.

Then

$$\mathcal{T} := \left((D_i)_{i \in F}, (g_{ij}: D_i \rightarrow D_j)_{\substack{i, j \in F \\ i \leq j}} \right)$$

is an *inverse system* in \mathbf{C} . Assume $D \in \mathbf{C}$ and $(g_i: D \rightarrow D_i)_{i \in F}$ is a family of morphisms such that $i \leq j$ implies $g_{ij} \circ g_i = g_j$ ($i, j \in F$). Then

$$\left(D, (g_i: D \rightarrow D_i)_{i \in F} \right)$$

is *compatible* with the inverse system \mathcal{T} . Assume $(D, (g_i: D \rightarrow D_i)_{i \in F})$ also has the property that, for any $(D', (g'_i: D' \rightarrow D_i)_{i \in F})$ compatible with \mathcal{T} , there is a unique morphism $g: D' \rightarrow D$ such that $g_i \circ g = g'_i$ for all $i \in F$. Then $(D, (g_i: D \rightarrow D_i)_{i \in F})$ is an *inverse limit* of \mathcal{T} .

A result will be referred to without a section number in the section in which it appears.

3. Continuous function duals of semilattice homomorphisms

In this section we show how various posets of **Slat**-morphisms may be viewed as posets of continuous order-preserving maps from a Priestley space into an ideal lattice with an appropriate topology (Theorem 6, Corollary 7, and Corollary 8). We then show how *Priestley relations*, introduced in [3] as the duals of $\{0\}$ - \vee -homomorphisms between bounded distributive lattices under Priestley duality, correspond naturally with such function spaces (Proposition 12).

Lemma 3.1. *Let A be an algebraic lattice. The family $\{\uparrow k \mid k \in \kappa(A)\}$ is closed under finite (including empty) intersections and is a basis for Σ .*

Hence $\{\uparrow k \mid k \in \kappa(A)\} \cup \{A \setminus \uparrow a \mid a \in A\}$ is a subbasis for Λ .

Proof. See [12], Corollary II.1.15. ■

Lemma 3.2. *Let A be an algebraic lattice and let $P \in \mathbf{P}$ and $p \in P$. Let*

$$g \in \mathbf{Slat}\left(\left(\kappa(A), \vee, 0_A\right), \left(\mathcal{U}(P), \cap, P\right)\right).$$

Then $\{k \in \kappa(A) \mid p \in g(k)\} \in \kappa(A)^\sigma$. Hence for all $k_0 \in \kappa(A)$,

$$k_0 \leq \bigsqcup \{k \in \kappa(A) \mid p \in g(k)\} \iff p \in g(k_0).$$

Proof. Let $I := \{k \in \kappa(A) \mid p \in g(k)\}$. As $g(0_A) = P$, we have $0_A \in I$.

If $k_0 \in \kappa(A)$, $k \in I$, and $k_0 \leq k$, then $p \in g(k) \subseteq g(k_0)$, so $k_0 \in I$.

If $k_0, k_1 \in I$, then $p \in g(k_0) \cap g(k_1) = g(k_0 \vee k_1)$, so $k_0 \vee k_1 \in I$. Therefore $I \in \kappa(A)^\sigma$.

By the isomorphism between $\kappa(A)^\sigma$ and A of §2, for all $k \in \kappa(A)$, $k \leq \bigsqcup I$ if and only if $k \in I$. ■

Lemma 3.3. *Let A be an algebraic lattice and let $P \in \mathbf{P}$. Let $f: P \rightarrow A$ be a map. Assume*

$$\{f^{-1}(\uparrow k) \mid k \in \kappa(A)\}$$

is finite. Then for all $a \in A$, there exists $k \in \downarrow_{\kappa(A)} a$ such that

$$f^{-1}(\uparrow a) = f^{-1}(\uparrow k).$$

Proof. Let $a \in A$. Let $k_0 \in \downarrow_{\kappa(A)} a$ be such that $f^{-1}(\uparrow k_0)$ is minimal in

$$\{f^{-1}(\uparrow k) \mid k \in \downarrow_{\kappa(A)} a\}.$$

Then for all $k \in \kappa(A)$ such that $k_0 \leq k \leq a$, we have $f^{-1}(\uparrow k) = f^{-1}(\uparrow k_0)$. Therefore

$$\begin{aligned} f^{-1}(\uparrow a) &= f^{-1}\left(\bigcap_{k \in \kappa(A) \cap \downarrow a} \uparrow k\right) = \bigcap_{k \in \kappa(A) \cap \downarrow a} f^{-1}(\uparrow k) \\ &= \bigcap_{\substack{k \in \kappa(A) \\ k_0 \leq k \leq a}} f^{-1}(\uparrow k) = f^{-1}(\uparrow k_0). \end{aligned}$$

■

Lemma 3.4. *Let A be an algebraic lattice and let $P \in \mathbf{P}$. Let $f \in A_{\Sigma}^P$. The following are equivalent:*

- (1) $f \in A_{\Lambda}^P$;
- (2) for all $k \in \kappa(A)$, $f^{-1}(\uparrow k)$ is closed.

In either case, for all $k \in \kappa(A)$, $f^{-1}(\uparrow k) \in D(P)$.

Proof. See [16], §V.

■

Lemma 3.5. *Let A be an algebraic lattice and let $P \in \mathbf{P}$. Let $f \in A_{\Lambda}^P$. The following are equivalent:*

- (1) $f \in A^P$;
- (2) $\text{Im } f$ is finite;
- (3) $\{f^{-1}(\uparrow k) \mid k \in \kappa(A)\}$ is finite.

Proof. (1) \implies (2). The implication holds because P is compact and f is a continuous map into a space with the discrete topology, so $\text{Im } f$ is compact and hence finite.

(2) \implies (3). For all $k \in \kappa(A)$,

$$f^{-1}(\uparrow k) = \bigcup \{ f^{-1}(a) \mid a \in \text{Im } f \text{ and } k \leq a \}$$

so there are at most 2^n elements in $\{ f^{-1}(\uparrow k) \mid k \in \kappa(A) \}$ where n is the size of $\text{Im } f$.

(3) \implies (1). By Lemmas 3 and 4, $\{ f^{-1}(\uparrow a) \mid a \in A \}$ is finite and $f^{-1}(\uparrow a)$ is clopen for all $a \in A$. Let $a \in A$. Then

$$\{ f^{-1}(\uparrow b) \mid b \in A \text{ and } a < b \} = \{ f^{-1}(\uparrow b_i) \mid i = 1, \dots, n \}$$

for some $n \geq 0$, $b_i \in A$ such that $a < b_i$ ($i = 1, \dots, n$). Then

$$\begin{aligned} f^{-1}(a) &= f^{-1}(\uparrow a) \setminus \left(\bigcup \{ f^{-1}(\uparrow b) \mid b \in A \text{ where } a < b \} \right) \\ &= f^{-1}(\uparrow a) \setminus \left(\bigcup_{i=1}^n f^{-1}(\uparrow b_i) \right), \end{aligned}$$

which is open. Hence $f \in A^P$. ■

Theorem 3.6. *Let A be an algebraic lattice and let $P \in \mathbf{P}$. By $\kappa(A)$ and $\mathcal{U}(P)$ we shall mean the objects $(\kappa(A), \vee, 0_A)$ and $(\mathcal{U}(P), \cap, P)$ of \mathbf{Slat} . Define a map*

$$\Psi: A_\Sigma^P \rightarrow \mathbf{Slat}(\kappa(A), \mathcal{U}(P))$$

as follows: for $f \in A_\Sigma^P$ and $k \in \kappa(A)$, let

$$[\Psi(f)](k) := f^{-1}(\uparrow k).$$

Define a map

$$\Phi: \mathbf{Slat}(\kappa(A), \mathcal{U}(P)) \rightarrow A_\Sigma^P$$

as follows: for $g \in \mathbf{Slat}(\kappa(A), \mathcal{U}(P))$ and $p \in P$, let

$$[\Phi(g)](p) := \bigsqcup \{ k \in \kappa(A) \mid p \in g(k) \}.$$

Then Ψ and Φ are mutually-inverse order-isomorphisms. The restriction of Ψ to A_Λ^P maps onto $\mathbf{Slat}(\kappa(A), D(P))$. The restriction of Ψ to A^P maps onto $\mathbf{Slat}^{\text{fin}}(\kappa(A), D(P))$.

Proof. Let $f \in A_\Sigma^P$, $k, k_1, k_2 \in \kappa(A)$. As f is continuous and order-preserving, by Lemma 1 $f^{-1}(\uparrow k) \in \mathcal{U}(P)$. Also $f^{-1}(\uparrow 0_A) = f^{-1}(A) = P$.

Finally $f^{-1}(\uparrow(k_1 \vee k_2)) = f^{-1}(\uparrow k_1 \cap \uparrow k_2) = f^{-1}(\uparrow k_1) \cap f^{-1}(\uparrow k_2)$. So the map $k \mapsto f^{-1}(\uparrow k)$ [$k \in \kappa(A)$] is in $\mathbf{Slat}(\kappa(A), \mathcal{U}(P))$. Thus Ψ is well-defined.

Let $f_1, f_2 \in A_\Sigma^P$ be such that $f_1 \leq f_2$. For $k \in \kappa(A)$,

$$\begin{aligned} [\Psi(f_1)](k) &= f_1^{-1}(\uparrow k) = \{p \in P \mid k \leq f_1(p)\} \\ &\subseteq \{p \in P \mid k \leq f_2(p)\} = f_2^{-1}(\uparrow k) = [\Psi(f_2)](k). \end{aligned}$$

Hence $\Psi(f_1) \leq \Psi(f_2)$, so Ψ is order-preserving.

Let $g \in \mathbf{Slat}(\kappa(A), \mathcal{U}(P))$ and $p_0 \in P$. By Lemma 2,

$$\{k \in \kappa(A) \mid p_0 \in g(k)\}$$

is directed. Let $k_0 \in \kappa(A)$ be such that $\bigsqcup\{k \in \kappa(A) \mid p_0 \in g(k)\} \in \uparrow k_0$. By Lemma 2, $p_0 \in g(k_0)$. As $g(k_0)$ is open, we conclude that the map

$$p \mapsto \bigsqcup\{k \in \kappa(A) \mid p \in g(k)\} \quad (p \in P)$$

is continuous from P to A with the Scott topology, by Lemma 1.

Let $p_1, p_2 \in P$ be such that $p_1 \leq p_2$. Let $k_0 \in \kappa(A)$ be such that $p_1 \in g(k_0)$. Then $p_2 \in g(k_0)$, because $g(k_0)$ is an up-set. Therefore

$$\bigsqcup\{k \in \kappa(A) \mid p_1 \in g(k)\} \leq \bigsqcup\{k \in \kappa(A) \mid p_2 \in g(k)\}.$$

We conclude that the map $p \mapsto \bigsqcup\{k \in \kappa(A) \mid p \in g(k)\}$ is order-preserving. Therefore Φ is well-defined.

Let $g_1, g_2 \in \mathbf{Slat}(\kappa(A), \mathcal{U}(P))$ be such that $g_1 \leq g_2$ and let $p \in P$. For $k \in \kappa(A)$, $p \in g_1(k)$ implies $p \in g_2(k)$, so

$$\begin{aligned} [\Phi(g_1)](p) &= \bigsqcup\{k \in \kappa(A) \mid p \in g_1(k)\} \\ &\leq \bigsqcup\{k \in \kappa(A) \mid p \in g_2(k)\} = [\Phi(g_2)](p). \end{aligned}$$

Hence $\Phi(g_1) \leq \Phi(g_2)$, so Φ is order-preserving.

Let $f \in A_\Sigma^P$. For $p_0 \in P$,

$$\begin{aligned} [(\Phi \circ \Psi)(f)](p_0) &= \bigsqcup\{k \in \kappa(A) \mid p_0 \in [\Psi(f)](k)\} \\ &= \bigsqcup\{k \in \kappa(A) \mid p_0 \in f^{-1}(\uparrow k)\} \\ &= \bigsqcup\{k \in \kappa(A) \mid k \leq f(p_0)\} = f(p_0), \end{aligned}$$

so $(\Phi \circ \Psi)(f) = f$. That is, $\Phi \circ \Psi = \text{id}(A_\Sigma^P)$.

Now let $g \in \mathbf{Slat}(\kappa(A), \mathcal{U}(P))$. For $k_0 \in \kappa(A)$,

$$\begin{aligned} [(\Psi \circ \Phi)(g)](k_0) &= [\Phi(g)]^{-1}(\uparrow k_0) = \{p \in P \mid k_0 \leq [\Phi(g)](p)\} \\ &= \left\{ p \in P \mid k_0 \leq \bigsqcup \{k \in \kappa(A) \mid p \in g(k)\} \right\}. \end{aligned}$$

By Lemma 2, we have

$$[(\Psi \circ \Phi)(g)](k_0) = \{p \in P \mid p \in g(k_0)\} = g(k_0),$$

so $(\Psi \circ \Phi)(g) = g$. That is, $\Psi \circ \Phi = \text{id}[\mathbf{Slat}(\kappa(A), \mathcal{U}(P))]$. Therefore, Ψ and Φ are mutually-inverse order-isomorphisms.

Let $f \in A_\Sigma^P$. By Lemma 4, $f \in A_\Lambda^P$ if and only if

$$\Psi(f) \in \mathbf{Slat}(\kappa(A), D(P)).$$

By Lemma 5, $f \in A^P$ if and only if $\text{Im } \Psi(f)$ is finite and

$$\Psi(f) \in \mathbf{Slat}(\kappa(A), D(P)). \quad \blacksquare$$

The next corollary follows from Theorem 6 using the **D-P** dictionary for ideals mentioned in §2. It explains the “curious duality” behind the representation of modular lattices of the form M_3^P , where M_3 is the five-element non-distributive modular lattice and P a finite poset ([25], §1, Construction 1).

Corollary 3.7. *Let $(S, \vee, 0_S) \in \mathbf{Slat}$, $T \in \mathbf{D}$. We regard T and T^σ as the objects $(T, \wedge, 1_T)$ and (T^σ, \cap, T) of \mathbf{Slat} , respectively. Let $\varphi: \kappa(T^\sigma) \cong T$ be the isomorphism $\varphi(\downarrow t) = t$ ($t \in T$). Define a map*

$$\Psi: (S_\Sigma^\sigma)^{P(T)} \rightarrow \mathbf{Slat}(S, T^\sigma)$$

as follows: for $f \in (S_\Sigma^\sigma)^{P(T)}$ and $s \in S$, let

$$[\Psi(f)](s) := \{t \in T \mid s \in \bigcap f[\rho_T(t)]\}.$$

Define a map

$$\Phi: \mathbf{Slat}(S, T^\sigma) \rightarrow (S_\Sigma^\sigma)^{P(T)}$$

as follows: for $g \in \mathbf{Slat}(S, T^\sigma)$ and $F \in P(T)$, let

$$[\Phi(g)](F) := \{s \in S \mid F \cap g(s) \neq \emptyset\}.$$

Then Ψ and Φ are mutually-inverse order-isomorphisms.

Define $\Psi': (S_\Lambda^\sigma)^{P(T)} \rightarrow \mathbf{Slat}(S, T)$ as follows: for $f \in (S_\Lambda^\sigma)^{P(T)}$ and $s \in S$, let

$$[\Psi'(f)](s) := \varphi\left[\left(\Psi(f)\right)(s)\right].$$

Define $\Phi': \mathbf{Slat}(S, T) \rightarrow (S_\Lambda^\sigma)^{P(T)}$ as follows: for $g \in \mathbf{Slat}(S, T)$ and $F \in P(T)$, let

$$[\Phi'(g)](F) := g^{-1}(F).$$

Then Ψ' and Φ' are mutually-inverse order-isomorphisms. The restriction of Ψ' to $(S^\sigma)^{P(T)}$ maps onto $\mathbf{Slat}^{\text{fin}}(S, T)$.

By reversing the order of T , we get the following.

Corollary 3.8. *Let $(S, \vee, 0_S) \in \mathbf{Slat}$, $T \in \mathbf{D}$. We regard T as the object $(T, \vee, 0_T)$ of \mathbf{Slat} . Let $\varphi: \kappa(T^\pi) \rightarrow T$ be the dual-isomorphism $\varphi(\uparrow t) = t$.*

Define the map $\Psi': (S_\Lambda^{\sigma\partial})^{P(T)} \rightarrow \mathbf{Slat}(S, T)$ as follows: for $f \in (S_\Lambda^{\sigma\partial})^{P(T)}$ and $s \in S$, let

$$[\Psi'(f)](s) := \varphi\left(\{t \in T \mid s \in \bigcap f[P(T) \setminus \rho_T(t)]\}\right).$$

Define a map

$$\Phi': \mathbf{Slat}(S, T) \rightarrow (S_\Lambda^{\sigma\partial})^{P(T)}$$

as follows: for $g \in \mathbf{Slat}(S, T)$ and $F \in P(T)$, let

$$[\Phi'(g)](F) := g^{-1}(T \setminus F).$$

Then Ψ' and Φ' are mutually-inverse order-isomorphisms. The restriction of Ψ' to $(S^{\sigma\partial})^{P(T)}$ maps onto $\mathbf{Slat}^{\text{fin}}(S, T)$.

It has been shown that if L is a finite lattice, then $\mathbf{Slat}(L, L) \in \mathbf{D}$ if and only if $L \in \mathbf{D}$ (see [14], Theorem 3). Indeed, if $L \in \mathbf{D}$ and L is finite, [14], Lemma 1 states that $L^{\mathcal{J}(L)} \cong \mathbf{Slat}(L, L)$. We also have the following

Corollary 3.9. *Let $L \in \mathbf{D}$ be finite. Then*

$$L^{\mathcal{J}(L)} \cong (\mathbf{Slat}(L, L))^{\partial}.$$

Therefore $\mathbf{Slat}(L, L)$ is self-dual.

Proof. As L is finite, $L^{\sigma} \cong L$ and $P(L) = \{\uparrow j \mid j \in \mathcal{J}(L)\} \cong \mathcal{J}(L)^{\partial}$. ■

Indeed, $\mathbf{Slat}(L, L)$ is the coproduct of L and L^{∂} in \mathbf{D} for a finite distributive lattice L . (See [5], Corollary 2.3; [6], Theorem and Corollary; and [24], Theorem.)

Under Priestley duality, continuous order-preserving maps between Priestley spaces P and Q correspond to $\{0, 1\}$ -preserving homomorphisms between $D(Q)$ and $D(P)$. In [3], $\{0\}$ - \vee -homomorphisms were shown to correspond to certain relations between P and Q .

Let $P, Q \in \mathbf{P}$; let $R \subseteq P \times Q$. For $p \in P$, $R(p) := \{q \in Q \mid (p, q) \in R\}$. For $V \subseteq Q$, $R^{-1}(V) := \{p \in P \mid R(p) \cap V \neq \emptyset\}$. The relation R is a *Priestley relation* if

- (1) $R(p)$ is a closed down-set of Q for all $p \in P$;
- (2) $R^{-1}(V) \in D(P)$ for all $V \in D(Q)$.

Let $\mathcal{R}(P, Q)$ denote the set of Priestley relations from P to Q .

For $R \in \mathcal{R}(P, Q)$, let $R^*: D(Q) \rightarrow D(P)$ be the function $R^*(V) = R^{-1}(V)$ ($V \in D(Q)$). By [3], Lemma 1.5, it is a $\{0\}$ - \vee -homomorphism. Indeed, the map

$$R \mapsto R^* \quad [R \in \mathcal{R}(P, Q)]$$

is a bijection between $\mathcal{R}(P, Q)$ and $\mathbf{Slat}(D(Q), D(P))$ (where we regard $D(P)$ and $D(Q)$ as $\{0\}$ - \cup -semilattices).

We shall turn $\mathcal{R}(P, Q)$ into a poset as follows: for $R, S \in \mathcal{R}(P, Q)$, $R \leq S$ if and only if $R(p) \subseteq S(p)$ for all $p \in P$.

Lemma 3.10. *Let $P, Q \in \mathbf{P}$, $R \in \mathcal{R}(P, Q)$. Then for all $p \in P$,*

$$Q \setminus R(p) = \bigcup \{V \in D(Q) \mid p \notin R^*(V)\}.$$

Proof. For all $p \in P$, the set $Q \setminus R(p) \in \mathcal{U}(Q)$. By the isomorphism of §2,

$$\begin{aligned} Q \setminus R(p) &= \bigcup \{V \in D(Q) \mid V \subseteq Q \setminus R(p)\} \\ &= \bigcup \{V \in D(Q) \mid R(p) \subseteq Q \setminus V\} \\ &= \bigcup \{V \in D(Q) \mid p \notin R^{-1}(V)\}. \end{aligned}$$

■

Lemma 3.11. *Let $P, Q \in \mathbf{P}$. The map*

$$R \mapsto R^* \quad [R \in \mathcal{R}(P, Q)]$$

from $\mathcal{R}(P, Q)$ to $\mathbf{Slat} \left[\left(D(Q), \cup, \emptyset \right), \left(D(P), \cup, \emptyset \right) \right]$ is an order-isomorphism.

Proof. Let $R, S \in \mathcal{R}(P, Q)$. First assume $R \subseteq S$. Then for all $V \in D(Q)$

$$\begin{aligned} R^*(V) &= R^{-1}(V) = \{p \in P \mid R(p) \cap V \neq \emptyset\} \\ &\subseteq \{p \in P \mid S(p) \cap V \neq \emptyset\} = S^{-1}(V) = S^*(V). \end{aligned}$$

Therefore $R^* \leq S^*$. Hence the map is order-preserving.

Now assume $R^* \leq S^*$. By Lemma 10, for all $p \in P$,

$$\begin{aligned} Q \setminus S(p) &= \bigcup \{V \in D(Q) \mid p \notin S^*(V)\} \\ &\subseteq \bigcup \{V \in D(Q) \mid p \notin R^*(V)\} = Q \setminus R(p), \end{aligned}$$

so that $R(p) \subseteq S(p)$. Therefore $R \subseteq S$. Hence the map is an order-embedding.

As the map is onto, it is an order-isomorphism. ■

Now we establish the connection between our function space representation of **Slat**-morphisms and Priestley relations.

Proposition 3.12. *Let $P, Q \in \mathbf{P}$. We regard $D(P)$ and $D(Q)$ as $\{\emptyset\}$ - \cup -semilattices. Define*

$$\theta: \mathcal{R}(P, Q) \rightarrow \mathcal{U}(Q)_\Lambda^{P^\theta}$$

as follows: for $R \in \mathcal{R}(P, Q)$ and $p \in P$, let

$$[\theta(R)](p) := Q \setminus R(p).$$

Define

$$\Psi': \mathcal{U}(Q)_\Lambda^{P^\theta} \rightarrow \mathbf{Slat}(D(Q), D(P))$$

as follows:

$$[\Psi'(f)](V) := P \setminus f^{-1}(\uparrow_{\mathcal{U}(Q)} V) \quad [f \in \mathcal{U}(Q)_\Lambda^{P^\theta}, V \in D(Q)].$$

Define

$$\Phi': \mathbf{Slat}(D(Q), D(P)) \rightarrow \mathcal{U}(Q)_\Lambda^{P^\theta}$$

as follows: for $g \in \mathbf{Slat}(D(Q), D(P))$ and $p \in P$, let

$$[\Phi'(g)](p) := \bigcup \{ V \in D(Q) \mid p \notin g(V) \}.$$

Then:

- (1) θ is a dual-isomorphism;
- (2) Ψ' and Φ' are mutually-inverse dual-isomorphisms;
- (3) for all $R \in \mathcal{R}(P, Q)$,

$$(\Psi' \circ \theta)(R) = R^*.$$

Proof. By Theorem 6, Ψ' and Φ' are inverse dual-isomorphisms.

For $R \in \mathcal{R}(P, Q)$ and $p \in P$,

$$[\Phi'(R^*)](p) = \bigcup \{ V \in D(Q) \mid p \notin R^*(V) \} = Q \setminus R(p)$$

by Lemma 10. Hence θ is well-defined and $\Phi'(R^*) = \theta(R)$, so

$$(\Psi' \circ \theta)(R) = R^*.$$

■

4. Profinite posets and Priestley powers

In [28], Theorem, it is shown that every Priestley space P is an inverse limit of finite posets with the discrete topology. Although the proof requires minor modifications, the basic idea is to partition the space into finitely many parts and place a partial order on the set of equivalence classes (if possible) so that the natural projection map is continuous and order-preserving. The inverse limit of the filtered system of these ordered partitions will be the original Priestley space (Proposition 6). If one does this same procedure with \overline{P} , a priori one will get more partitions. We show, however, that \overline{P} is in fact the inverse limit of the unordered versions of the partitions arising from P (Proposition 7).

If $M \in \mathbf{Lat} \cup \mathbf{Slat}$, then, for each of the above partitions Π of P , one gets a Priestley power M^Π , and the filtered limit of these is M^P (Proposition 14). For \overline{P} , however, we are not using all the partitions that arise from \overline{P} necessarily, but only those arising from P . While the inverse limit of each filtered system of partitions (the one arising from P , the other from \overline{P}) is \overline{P} , we must prove that the corresponding filtered limit is $M^{\overline{P}}$ (Proposition 15). We use a lemma, interesting in its own right, to show that if a Priestley space is an inverse limit of two filtered systems of finite antichains, then any partition arising from one system may be refined to yield a partition arising from the other system (Lemma 9).

The first lemmas are easy.

Lemma 4.1. *Let P be a set, Π, Π_0 partitions of P such that $\Pi \leq \Pi_0$. Then*

$$\nu_{\Pi_0} = \nu_{\Pi, \Pi_0} \circ \nu_{\Pi}.$$

Lemma 4.2. *Let $P \in \mathbf{P}$. Then:*

- (1) *every $\Pi \in \mathcal{E}_P$ is a finite poset, the elements of which are non-empty clopen subsets of P ;*
- (2) *for all $\Pi \in \mathcal{E}_P$, $\nu_{\Pi}: P \rightarrow \Pi$ is continuous, order-preserving, and surjective;*
- (3) *for all $\Pi, \Pi_0 \in \mathcal{E}_P$ such that $\Pi \leq \Pi_0$,*

$$\nu_{\Pi, \Pi_0}: \Pi \rightarrow \Pi_0$$

is order-preserving and surjective;

- (4) $\overline{\mathcal{E}_P} \subseteq \mathcal{E}_{\overline{P}}$.

Lemma 4.3. *Let $P \in \mathbf{P}$; let Q be a poset. Let $f \in Q^P$. For each $q \in \text{Im } f$, let $V_q := f^{-1}(q)$; let $\Pi := \{V_q\}_{q \in \text{Im } f}$. Define $g: \Pi \rightarrow Q$ by $g(V_q) := q$ for all $q \in \text{Im } f$. Then $\Pi \in \mathcal{E}_P$, g is order-preserving, and $f = g \circ \nu_{\Pi}$.*

Proof. Clearly Π is a partition of P into open subsets. We now prove that the quasiorder \leq_{Π} is antisymmetric. Let $q, r \in \text{Im } f$. Assume that $V_q \leq_{\Pi} V_r$. Then for some $n \geq 1$, there exist $q_1, \dots, q_n \in \text{Im } f$ such that

$$V_q = V_{q_1} \leq_{\Pi} \dots \leq_{\Pi} V_{q_n} = V_r.$$

As f is order-preserving,

$$q = q_1 \leq \dots \leq q_n = r,$$

so $q \leq r$. Thus, if $q, r \in \text{Im } f$, $V_q \leq_{\Pi} V_r$, and $V_r \leq_{\Pi} V_q$, then $q = r$ and hence $V_q = V_r$. Therefore \leq_{Π} is antisymmetric. We conclude that $\Pi \in \mathcal{E}_P$.

The above shows that g is order-preserving and clearly $f = g \circ \nu_{\Pi}$. ■

Proposition 4.4. *Let $P \in \mathbf{P}$. The poset \mathcal{E}_P is filtered.*

Proof. If $P = \emptyset$, the partition with no equivalence classes is in \mathcal{E}_P . If $P \neq \emptyset$, the partition $\{P\} \in \mathcal{E}_P$. In either case, $\mathcal{E}_P \neq \emptyset$.

Now let $\Pi_1, \Pi_2 \in \mathcal{E}_P$. By Lemma 2 (2),

$$\nu_i := \nu_{\Pi_i}: P \rightarrow \Pi_i \quad (i = 1, 2)$$

is continuous and order-preserving. Thus the map $\nu: P \rightarrow \Pi_1 \times \Pi_2$ defined by $\nu(p) := (\nu_1(p), \nu_2(p))$ ($p \in P$) is a continuous order-preserving map into an ordered space with the discrete topology. For $q \in \text{Im } \nu$, let $V_q := \nu^{-1}(q)$. By Lemma 3,

$$\Pi := \{V_q\}_{q \in \text{Im } \nu} \in \mathcal{E}_P.$$

Clearly $\Pi \leq \Pi_1, \Pi_2$. ■

Lemma 4.5. *Let $P \in \mathbf{P}$.*

- (1) *If $U \in D(P)$ is non-empty and proper, then $\{U, P \setminus U\} \in \mathcal{E}_P$.*
- (2) *If $p, q \in P$ and $p \not\leq q$, then there exists $\Pi \in \mathcal{E}_P$ such that $\nu_\Pi(p) \not\leq \nu_\Pi(q)$.*

Proof. (1) This part is obvious.

(2) There exists $U \in D(P)$ such that $p \in U$ and $q \in P \setminus U$. Let $\Pi := \{U, P \setminus U\}$. ■

Proposition 4.6 ([28], Theorem). *Let $P \in \mathbf{P}$. Then*

$$\left((\Pi)_{\Pi \in \mathcal{E}_P}, (\nu_{\Pi_1, \Pi_2}: \Pi_1 \rightarrow \Pi_2)_{\substack{\Pi_1, \Pi_2 \in \mathcal{E}_P \\ \Pi_1 \leq \Pi_2}} \right)$$

is an inverse system in \mathbf{P} with inverse limit

$$\left(P, (\nu_\Pi: P \rightarrow \Pi)_{\Pi \in \mathcal{E}_P} \right).$$

Proof. By Proposition 4, \mathcal{E}_P is filtered, and it is clear from Lemma 2 that

$$\mathcal{T} := \left((\Pi)_{\Pi \in \mathcal{E}_P}, (\nu_{\Pi_1, \Pi_2}: \Pi_1 \rightarrow \Pi_2)_{\substack{\Pi_1, \Pi_2 \in \mathcal{E}_P \\ \Pi_1 \leq \Pi_2}} \right)$$

is an inverse system in \mathbf{P} . By Lemma 1,

$$\left(P, (\nu_\Pi: P \rightarrow \Pi)_{\Pi \in \mathcal{E}_P} \right).$$

is compatible with \mathcal{T} .

Assume

$$\left(Q, (g_\Pi: Q \rightarrow \Pi)_{\Pi \in \mathcal{E}_P}\right)$$

is also compatible with \mathcal{T} . We prove that, for each $q \in Q$,

$$\bigcap_{\Pi \in \mathcal{E}_P} \nu_\Pi^{-1}(g_\Pi(q))$$

is a singleton.

By Lemma 2 (2), $C_\Pi := \nu_\Pi^{-1}(g_\Pi(q))$ is clopen and non-empty for all $\Pi \in \mathcal{E}_P$. If $\Pi_1, \dots, \Pi_n \in \mathcal{E}_P$ for some $n \geq 0$, there exists $\Pi \in \mathcal{E}_P$ such that $\Pi \leq \Pi_1, \dots, \Pi_n$. As $C_\Pi \neq \emptyset$, there exists $p \in P$ such that $\nu_\Pi(p) = g_\Pi(q)$. By Lemma 1, for $i = 1, \dots, n$,

$$\nu_{\Pi_i}(p) = (\nu_{\Pi, \Pi_i} \circ \nu_\Pi)(p) = (\nu_{\Pi, \Pi_i} \circ g_\Pi)(q) = g_{\Pi_i}(q)$$

by compatibility, so

$$p \in \bigcap_{i=1}^n C_{\Pi_i}.$$

By compactness,

$$\bigcap_{\Pi \in \mathcal{E}_P} C_\Pi \neq \emptyset.$$

If $p, p' \in P$ and $p \neq p'$, by Lemma 5 (2) there exists $\Pi \in \mathcal{E}_P$ such that $\nu_\Pi(p) \neq \nu_\Pi(p')$. Hence

$$\bigcap_{\Pi \in \mathcal{E}_P} C_\Pi$$

contains a unique element $g(q)$.

We prove that $g: Q \rightarrow P$ is continuous and order-preserving. Let $U \in D(P)$ be non-empty and proper. Let $p \in U$. Then $\Pi := \{U, P \setminus U\} \in \mathcal{E}_P$ by Lemma 5 (1) and $g_\Pi^{-1}(\nu_\Pi(p)) = g_\Pi^{-1}(\{U\}) \in D(Q)$. We have

$$\begin{aligned} \nu_\Pi \circ g = g_\Pi &\implies g^{-1} \circ \nu_\Pi^{-1} = g_\Pi^{-1} \\ &\implies g^{-1} \circ \nu_\Pi^{-1} \circ \nu_\Pi = g_\Pi^{-1} \circ \nu_\Pi \\ &\implies g^{-1}(U) = (g^{-1} \circ \nu_\Pi^{-1} \circ \nu_\Pi)(p) = (g_\Pi^{-1} \circ \nu_\Pi)(p) \in D(Q). \end{aligned}$$

Hence $g: Q \rightarrow P$ is order-preserving and continuous. Uniqueness is clear. ■

Proposition 4.7. *Let $P \in \mathbf{P}$. Then*

$$\left((\bar{\Pi})_{\Pi \in \mathcal{E}_P}, (\nu_{\bar{\Pi}_1, \bar{\Pi}_2}: \bar{\Pi}_1 \rightarrow \bar{\Pi}_2)_{\substack{\Pi_1, \Pi_2 \in \mathcal{E}_P \\ \Pi_1 \leq \Pi_2}} \right)$$

is an inverse system in \mathbf{P} with inverse limit

$$\left(\bar{P}, (\nu_{\bar{\Pi}}: \bar{P} \rightarrow \bar{\Pi})_{\Pi \in \mathcal{E}_P} \right).$$

Proof. Using Proposition 6, we see that

$$\bar{\mathcal{T}} := \left((\bar{\Pi})_{\Pi \in \mathcal{E}_P}, (\nu_{\bar{\Pi}_1, \bar{\Pi}_2}: \bar{\Pi}_1 \rightarrow \bar{\Pi}_2)_{\substack{\Pi_1, \Pi_2 \in \mathcal{E}_P \\ \Pi_1 \leq \Pi_2}} \right)$$

is an inverse system in \mathbf{P} with which

$$\left(\bar{P}, (\nu_{\bar{\Pi}}: \bar{P} \rightarrow \bar{\Pi})_{\Pi \in \mathcal{E}_P} \right).$$

is compatible.

Assume

$$\left(Q, (\bar{g}_{\Pi}: Q \rightarrow \bar{\Pi})_{\Pi \in \mathcal{E}_P} \right)$$

is also compatible with $\bar{\mathcal{T}}$. For each $\Pi \in \mathcal{E}_P$, let $g_{\Pi}: Q \rightarrow \Pi$ be the continuous order-preserving function $g_{\Pi}(q) := \bar{g}_{\Pi}(q)$ ($q \in Q$). Then

$$\left(Q, (g_{\Pi}: Q \rightarrow \Pi)_{\Pi \in \mathcal{E}_P} \right)$$

is compatible with the inverse system

$$\left((\Pi)_{\Pi \in \mathcal{E}_P}, (\nu_{\Pi_1, \Pi_2}: \Pi_1 \rightarrow \Pi_2)_{\substack{\Pi_1, \Pi_2 \in \mathcal{E}_P \\ \Pi_1 \leq \Pi_2}} \right)$$

(see Proposition 6). Hence there is a unique continuous order-preserving function $g: Q \rightarrow P$ such that $\nu_{\Pi} \circ g = g_{\Pi}$ for all $\Pi \in \mathcal{E}_P$.

For all $q, r \in Q$, $q \leq r$ implies $g(q) = g(r)$. For otherwise by Lemma 5 there exists $\Pi \in \mathcal{E}_P$ such that $\nu_{\Pi}(g(q)) \not\leq \nu_{\Pi}(g(r))$ so that $g_{\Pi}(q) \not\leq g_{\Pi}(r)$ and hence $\bar{g}_{\Pi}(q) \not\leq \bar{g}_{\Pi}(r)$. As $\bar{\Pi}$ is an antichain, we have $\bar{g}_{\Pi}(q) \not\leq \bar{g}_{\Pi}(r)$, so that \bar{g}_{Π} is not order-preserving, a contradiction.

Hence the map $\bar{g}: Q \rightarrow \bar{P}$ defined by $\bar{g}(q) := g(q)$ for all $q \in Q$ is continuous and order-preserving. Moreover for all $\Pi \in \mathcal{E}_P$, $\nu_{\bar{\Pi}} \circ \bar{g} = \bar{g}_{\Pi}$.

Assume $\bar{h}: Q \rightarrow \bar{P}$ is a continuous order-preserving map such that $\nu_{\bar{\Pi}} \circ \bar{h} = \bar{g}_{\Pi}$ ($\Pi \in \mathcal{E}_P$). Define $h: Q \rightarrow P$ by $h(q) := \bar{h}(q)$ ($q \in Q$). Then h is continuous and order-preserving, and $\nu_{\Pi} \circ h = g_{\Pi}$ ($\Pi \in \mathcal{E}_P$); hence $h = g$, so that $\bar{h} = \bar{g}$. ■

Lemma 4.8. *Let F be a filtered poset, $\left(P, (g_i: P \rightarrow P_i)_{i \in F}\right)$ an inverse limit in \mathbf{P} of the inverse system*

$$\left((P_i)_{i \in F}, (g_{ij}: P_i \rightarrow P_j)_{\substack{i, j \in F \\ i \leq j}}\right).$$

Then:

- (1) $i \leq j$ implies $\text{Im } D(g_j) \subseteq \text{Im } D(g_i)$ ($i, j \in F$);
- (2) $\{\text{Im } D(g_i) \mid i \in F\}$ is directed;
- (3) $D(P) = \bigcup_{i \in F} \text{Im } D(g_i)$.

Proof. (1) Let $i, j \in F$ be such that $i \leq j$. Then $g_{ij} \circ g_i = g_j$ implies

$$D(g_i) \circ D(g_{ij}) = D(g_j),$$

so that $\text{Im } D(g_j) \subseteq \text{Im } D(g_i)$.

(2) This statement follows from (1) and the fact F is filtered.

(3) By Priestley duality,

$$\mathcal{S} := \left(\left(D(P_i) \right)_{i \in F}, \left(D(g_{ij}): D(P_j) \rightarrow D(P_i) \right)_{\substack{i, j \in F \\ i \leq j}} \right)$$

is a filtered system in \mathbf{D} with filtered limit

$$\left(D(P), \left(D(g_i): D(P_i) \rightarrow D(P) \right)_{i \in F} \right).$$

Let $\mathcal{D} := \{\text{Im } D(g_i) \mid i \in F\}$. Then $M := \bigcup \mathcal{D}$ is a $\{0, 1\}$ -sublattice of $L := D(P)$ by (2). For $i \in F$, let $f'_i: D(P_i) \rightarrow M$ be the $\{0, 1\}$ -homomorphism defined by $f'_i(a) := [D(g_i)](a)$ ($a \in D(P_i)$). For $i, j \in F$ such that $i \leq j$ and $a \in D(P_j)$,

$$\begin{aligned} [f'_i \circ D(g_{ij})](a) &= [D(g_i) \circ D(g_{ij})](a) = [D(g_{ij} \circ g_i)](a) \\ &= [D(g_j)](a) = f'_j(a) \end{aligned}$$

so that $\left(M, (f'_i: D(P_i) \rightarrow M)_{i \in F}\right)$ is compatible with \mathcal{S} . Hence there exists a unique $\{0, 1\}$ -homomorphism $f: L \rightarrow M$ such that $f \circ D(g_i) = f'_i$ ($i \in F$). For all $i \in F$ and $a \in D(P_i)$, $[f \circ D(g_i)](a) = f'_i(a) = [D(g_i)](a)$.

Let $h: L \rightarrow L$ be the $\{0, 1\}$ -homomorphism defined by $h(a) := f(a)$ ($a \in L$). As $h \circ D(g_i) = D(g_i)$ ($i \in F$), we see that $h = \text{id}_L$, so that $\text{Im } f = L$ and hence $M = L$. ■

Lemma 4.9. *Let F and K be filtered posets. Let $(P, (g_i: P \rightarrow P_i)_{i \in F})$ be an inverse limit in \mathbf{P} of the inverse system*

$$\left((P_i)_{i \in F}, (g_{ij}: P_i \rightarrow P_j)_{\substack{i, j \in F \\ i \leq j}} \right).$$

Let $(P, (h_k: P \rightarrow Q_k)_{k \in K})$ be an inverse limit in \mathbf{P} of the inverse system

$$\left((Q_k)_{k \in K}, (h_{km}: Q_k \rightarrow Q_m)_{\substack{k, m \in K \\ k \leq m}} \right).$$

Assume that $g_i: P \rightarrow P_i$ and $h_k: P \rightarrow Q_k$ are surjective and that P_i and Q_k are finite antichains ($i \in F, k \in K$).

Then for all $k \in K$, there exists $i \in F$ for which the following holds: for all $p_i \in P_i$, there exists $q_k \in Q_k$ such that $g_i^{-1}(p_i) \subseteq h_k^{-1}(q_k)$.

Proof. Let $k \in K$. By Lemma 8 (3), $\text{Im } D(h_k) \subseteq \bigcup_{i \in F} \text{Im } D(g_i)$. Hence by Lemma 8 (2) there exists $i \in F$ such that $\text{Im } D(h_k) \subseteq \text{Im } D(g_i)$.

As $\text{Im } D(h_k)$ is a $\{0, 1\}$ -sublattice of $\text{Im } D(g_i)$,

$$a \leq 1_{D(P)} = \bigvee \{ b \in D(P) \mid b \text{ is an atom of } \text{Im } D(h_k) \}$$

for every atom a of $\text{Im } D(g_i)$, so there exists an atom $b \in \text{Im } D(h_k)$ such that $a \leq b$. That is, for every $p_i \in P_i$, there exists $q_k \in Q_k$ such that $g_i^{-1}(p_i) \subseteq h_k^{-1}(q_k)$. ■

The next result is easily seen to be true.

Lemma 4.10. *Let $P, Q \in \mathbf{P}$, $M \in \mathbf{Lat} \cup \mathbf{Slat}$. Let $\nu: P \rightarrow Q$ be a continuous order-preserving map. Define $\mu: M^Q \rightarrow M^P$ by $\mu(f) := f \circ \nu$ for all $f \in M^Q$. Then:*

- (1) μ is a morphism;
- (2) μ is injective if ν is surjective.

Lemma 2 (2) and (3) and Lemma 10 yield the following.

Lemma 4.11. *Let $P \in \mathbf{P}$, $M \in \mathbf{Lat} \cup \mathbf{Slat}$.*

- (1) *For every $\Pi \in \mathcal{E}_P$, $\mu_{\Pi}^M: M^{\Pi} \rightarrow M^P$ is an injective morphism;*
- (2) *For every $\Pi, \Pi_0 \in \mathcal{E}_P$ such that $\Pi \leq \Pi_0$,*

$$\mu_{\Pi, \Pi_0}^M: M^{\Pi_0} \rightarrow M^{\Pi}$$

is an injective morphism.

Lemma 4.12. *Let $P \in \mathbf{P}$, $M \in \mathbf{Lat} \cup \mathbf{Slat}$.*

- (1) *For $\Pi \in \mathcal{E}_P$, $\mu_{\Pi, \Pi}^M = \text{id}(M^\Pi)$.*
 (2) *For $\Pi_1, \Pi_2, \Pi_3 \in \mathcal{E}_P$ such that $\Pi_1 \leq \Pi_2 \leq \Pi_3$,*

$$\mu_{\Pi_1, \Pi_2}^M \circ \mu_{\Pi_2, \Pi_3}^M = \mu_{\Pi_1, \Pi_3}^M.$$

- (3) *For $\Pi_1, \Pi_2 \in \mathcal{E}_P$ such that $\Pi_1 \leq \Pi_2$,*

$$\mu_{\Pi_1}^M \circ \mu_{\Pi_1, \Pi_2}^M = \mu_{\Pi_2}^M.$$

Proof. (1) This part is obvious.

- (2) Let $f \in M^{\Pi_3}$. Then

$$\begin{aligned} (\mu_{\Pi_1, \Pi_2}^M \circ \mu_{\Pi_2, \Pi_3}^M)(f) &= f \circ \nu_{\Pi_2, \Pi_3} \circ \nu_{\Pi_1, \Pi_2} \\ &= f \circ \nu_{\Pi_1, \Pi_3} = \mu_{\Pi_1, \Pi_3}^M(f). \end{aligned}$$

- (3) Let $f \in M^{\Pi_2}$. Then

$$\begin{aligned} (\mu_{\Pi_1}^M \circ \mu_{\Pi_1, \Pi_2}^M)(f) &= f \circ \nu_{\Pi_1, \Pi_2} \circ \nu_{\Pi_1} \\ &= f \circ \nu_{\Pi_2} = \mu_{\Pi_2}^M(f) \end{aligned}$$

by Lemma 1. ■

Lemma 4.13. *Let F be a filtered poset. Let*

$$\mathcal{S} := \left((C_i)_{i \in F}, (f_{ij}: C_j \rightarrow C_i)_{\substack{i, j \in F \\ i \leq j}} \right)$$

be a filtered system in $\mathbf{Lat} \cup \mathbf{Slat}$ with which $(C, (f_i: C_i \rightarrow C)_{i \in F})$ is compatible.

Assume:

- (1) $C = \bigcup_{i \in F} \text{Im } f_i$;
 (2) *for all $i \in F$, f_i is injective.*

Then $(C, (f_i: C_i \rightarrow C)_{i \in F})$ is a filtered limit of \mathcal{S} .

Proof. Assume $(C', (f'_i: C_i \rightarrow C')_{i \in F})$ is compatible with \mathcal{S} . Define

$$f: C \rightarrow C'$$

as follows: if $c \in C$ and $c = f_i(c_i)$ for some $i \in F$ and $c_i \in C_i$, let $f(c) := f'_i(c_i) \in C'$.

The map is well-defined. For if $c = f_j(c_j) = f_k(c_k)$ for some $j, k \in F$, $c_j \in C_j$, $c_k \in C_k$, there exists $i \in F$ such that $i \leq j, k$. Hence

$$c = (f_i \circ f_{ij})(c_j) = (f_i \circ f_{ik})(c_k),$$

so that $f_{ij}(c_j) = f_{ik}(c_k)$. Now $(f'_i \circ f_{ij})(c_j) = f'_j(c_j)$ and $(f'_i \circ f_{ik})(c_k) = f'_k(c_k)$.

If $c, d \in C$, then there exist $j, k \in F$ such that $c = f_j(c_j)$ and $d = f_k(c_k)$ for some $c_j \in C_j$ and $c_k \in C_k$. There exists $i \in F$ such that $i \leq j, k$, and $c = (f_i \circ f_{ij})(c_j)$, $d = (f_i \circ f_{ik})(c_k)$. Thus $c \vee d = f_i(f_{ij}(c_j) \vee f_{ik}(c_k))$, so

$$\begin{aligned} f(c \vee d) &= f'_i(f_{ij}(c_j) \vee f_{ik}(c_k)) \\ &= (f'_i \circ f_{ij})(c_j) \vee (f'_i \circ f_{ik})(c_k) \\ &= f'_j(c_j) \vee f'_k(c_k) = f(c) \vee f(d). \end{aligned}$$

(If $f \in \mathbf{Lat}$, then it preserves meet as well.) Hence f is a morphism. Uniqueness is clear. ■

Cf. (2) below with [18], Theorem V.4.1.

Proposition 4.14. *Let $P \in \mathbf{P}$, $M \in \mathbf{Lat} \cup \mathbf{Slat}$. Then:*

- (1) $M^P = \bigcup_{\Pi \in \mathcal{E}_P} \text{Im } \mu_{\Pi}^M$;
- (2) $(M^P, (\mu_{\Pi}^M: M^{\Pi} \rightarrow M^P)_{\Pi \in \mathcal{E}_P})$ is a filtered limit of the filtered system

$$\left((M^{\Pi})_{\Pi \in \mathcal{E}_P}, (\mu_{\Pi_1, \Pi_2}^M: M^{\Pi_2} \rightarrow M^{\Pi_1})_{\substack{\Pi_1, \Pi_2 \in \mathcal{E}_P \\ \Pi_1 \leq \Pi_2}} \right);$$

- (3) for $\Pi_1, \Pi_2 \in \mathcal{E}_P$, $\Pi_1 \leq \Pi_2$ implies

$$\text{Im } \mu_{\Pi_2}^M \subseteq \text{Im } \mu_{\Pi_1}^M.$$

Proof. (1) Let $f \in M^P$. By Lemma 3, there exist $\Pi \in \mathcal{E}_P$ and an order-preserving map $g: \Pi \rightarrow M$ such that $f = g \circ \nu_\Pi = \mu_\Pi^M(g)$.

(2) By Proposition 4, \mathcal{E}_P is filtered. By Lemma 12,

$$\mathcal{S} := \left((M^\Pi)_{\Pi \in \mathcal{E}_P}, (\mu_{\Pi_1, \Pi_2}^M: M^{\Pi_2} \rightarrow M^{\Pi_1})_{\substack{\Pi_1, \Pi_2 \in \mathcal{E}_P \\ \Pi_1 \leq \Pi_2}} \right)$$

is a filtered system with which

$$\left(M^P, (\mu_\Pi^M: M^\Pi \rightarrow M^P)_{\Pi \in \mathcal{E}_P} \right)$$

is compatible. By (1) and Lemmas 11 and 13, it is a filtered limit of \mathcal{S} .

(3) This part follows from (2). ■

Proposition 4.15. *Let $P \in \mathbf{P}$, $M \in \mathbf{Lat} \cup \mathbf{Slat}$. Then:*

(1) $M^{\bar{P}} = \bigcup_{\Pi \in \mathcal{E}_P} \text{Im } \mu_\Pi^M$;

(2) $\left(M^{\bar{P}}, (\mu_\Pi^M: M^\Pi \rightarrow M^{\bar{P}})_{\Pi \in \mathcal{E}_P} \right)$ is a filtered limit of the filtered system

$$\left((M^{\bar{\Pi}})_{\Pi \in \mathcal{E}_P}, (\mu_{\Pi_1, \bar{\Pi}_2}^M: M^{\bar{\Pi}_2} \rightarrow M^{\bar{\Pi}_1})_{\substack{\Pi_1, \bar{\Pi}_2 \in \mathcal{E}_P \\ \Pi_1 \leq \bar{\Pi}_2}} \right);$$

(3) for $\Pi_1, \Pi_2 \in \mathcal{E}_P$, $\Pi_1 \leq \Pi_2$ implies

$$\text{Im } \mu_{\bar{\Pi}_2}^M \subseteq \text{Im } \mu_{\bar{\Pi}_1}^M.$$

Proof. (1) Let $f \in M^{\bar{P}}$. By Lemma 3, there exist $\Pi_0 \in \mathcal{E}_{\bar{P}}$ and a map $g \in M^{\Pi_0}$ such that

$$f = g \circ \nu_{\Pi_0}.$$

By Propositions 6 and 7 and Lemma 9, there exists $\Pi \in \mathcal{E}_P$ such that $\Pi \leq \Pi_0$. By Lemma 1,

$$f = g \circ \nu_{\Pi_0} = g \circ \nu_{\bar{\Pi}, \Pi_0} \circ \nu_{\bar{\Pi}} \in \text{Im } \mu_{\bar{\Pi}}^M.$$

(2) By Proposition 14,

$$\bar{\mathcal{S}} := \left((M^{\bar{\Pi}})_{\Pi \in \mathcal{E}_P}, (\mu_{\Pi_1, \bar{\Pi}_2}^M: M^{\bar{\Pi}_2} \rightarrow M^{\bar{\Pi}_1})_{\substack{\Pi_1, \bar{\Pi}_2 \in \mathcal{E}_P \\ \Pi_1 \leq \bar{\Pi}_2}} \right)$$

is a filtered system with which

$$\left(M^{\bar{P}}, (\mu_\Pi^M: M^\Pi \rightarrow M^{\bar{P}})_{\Pi \in \mathcal{E}_P} \right)$$

is compatible.

By (1) and Lemmas 11 and 13, it is a filtered limit of $\overline{\mathcal{S}}$.

(3) This part follows from (2). ■

5. Compact congruences of Priestley powers

In this section we prove that, for $L \in \mathbf{Lat}$ and $P \in \mathbf{P}$, $\text{Comp}(L^P) \cong (\text{Comp } L)^{\overline{P}}$ (Theorem 10). We use a suggestion from [23], pp. 98–100 about obtaining the semilattice of compact congruences of a limit of lattices L_i as a limit of the semilattices $\text{Comp } L_i$.

In [23], p. 98 and [11], §3, two prescriptions are given for functors from \mathbf{Lat} to \mathbf{Slat} given by $L \mapsto \text{Comp } L$ ($L \in \mathbf{Lat}$). We show that these two prescriptions yield the same functor (Lemma 3).

Our first lemma is a consequence of [19], Theorem 1.20. The second is a corollary, but we use an easy proof suggested by Dr. P. M. Neumann.

Lemma 5.1. *Let $L \in \mathbf{Lat}$, $X \subseteq L \times L$. Define $A_n(X)$ ($n \geq 0$) by induction:*

$$\begin{aligned} A_0(X) &:= X \cup \{ (a, b) \mid (b, a) \in X \} \cup \{ (a, a) \mid a \in L \}; \\ A_{n+1}(X) &:= A_n(X) \cup Q_n(X) \cup T_n(X) \end{aligned}$$

where

$$\begin{aligned} Q_n(X) &:= \{ (a_1 \vee a_2, b_1 \vee b_2), (a_1 \wedge a_2, b_1 \wedge b_2) \mid (a_i, b_i) \in A_n(X) \ (i = 1, 2) \}, \\ T_n(X) &:= \{ (a, c) \mid (a, b), (b, c) \in A_n(X) \text{ for some } b \in L \}. \end{aligned}$$

Then $\vartheta^L(X) = \bigcup_{n \geq 0} A_n(X)$.

Lemma 5.2. *Let $L, M \in \mathbf{Lat}$, $X \subseteq L \times L$. Let $f: L \rightarrow M$ be a homomorphism. Then*

$$(f \times f)[\vartheta^L(X)] \subseteq \vartheta^M((f \times f)[X]).$$

Proof. Let $\rho := \vartheta^M((f \times f)[X])$, and let $\varsigma := (f \times f)^{-1}(\rho)$. Since f is a homomorphism, $\varsigma \in \text{Con } L$. Clearly $X \subseteq \varsigma$, so $\vartheta^L(X) \subseteq \varsigma$. Hence

$$(f \times f)[\vartheta^L(X)] \subseteq \vartheta^M((f \times f)[X]). \quad \blacksquare$$

Lemma 5.3. *Let $L, M \in \mathbf{Lat}$, and let $f: L \rightarrow M$ be a homomorphism. Let $n \geq 0$, $a_i, b_i \in L$ ($i = 1, \dots, n$). Then*

$$[\text{Comp}(f)]\left(\bigvee_{i=1}^n \vartheta^L(a_i, b_i)\right) = \bigvee_{i=1}^n \vartheta^M(f(a_i), f(b_i)).$$

Hence Comp is a functor from \mathbf{Lat} to \mathbf{Slat} .

Proof. Clearly $\bigvee_{i=1}^n \vartheta^M(f(a_i), f(b_i)) \subseteq [\text{Comp}(f)]\left(\bigvee_{i=1}^n \vartheta^L(a_i, b_i)\right)$. By Lemma 2,

$$(f \times f)\left[\bigvee_{i=1}^n \vartheta^L(a_i, b_i)\right] \subseteq \bigvee_{i=1}^n \vartheta^M(f(a_i), f(b_i)),$$

so that

$$[\text{Comp}(f)]\left(\bigvee_{i=1}^n \vartheta^L(a_i, b_i)\right) \subseteq \bigvee_{i=1}^n \vartheta^M(f(a_i), f(b_i)).$$

Thus

$$[\text{Comp}(f)]\left(\bigvee_{i=1}^n \vartheta^L(a_i, b_i)\right) = \bigvee_{i=1}^n \vartheta^M(f(a_i), f(b_i)). \quad \blacksquare$$

In [9], Theorem 2.1, it is proven that, for $L \in \mathbf{Lat}$, $P \in \mathbf{P}^{\text{fin}}$, $\text{Con}(L^P) \cong (\text{Con } L)^n$, where n is the cardinality of P . (Also see a similar result for certain lattice-ordered algebras, [8], Theorem 3.5.) The proof is by induction on n . We present essentially the same proof below, only we have made it direct.

First we state some lemmas.

Lemma 5.4. *Let $L \in \mathbf{Lat}$, $P \in \mathbf{P}^{\text{fin}}$, $a, b \in L$, $p \in P$. Then*

$$m_P(a, b, p) \in L^P \quad \blacksquare$$

Lemma 5.5. *Let A, B be algebraic lattices. Then $\kappa(A \times B) = \kappa(A) \times \kappa(B)$. For $n \geq 0$, $\kappa(A^n) = \kappa(A)^n$.*

Lemma 5.6. *Let $L \in \mathbf{Lat}$, $P \in \mathbf{P}^{\text{fin}}$, $p \in P$, $a, b \in L$, $f_0, g_0 \in L^P$, $\theta \in \text{Con}(L^P)$. Assume $f_0(p) = a$, $g_0(p) = b$, and $(f_0, g_0) \in \theta \vee \chi_p$. Then*

$$(f, g) \in \theta \vee \chi_p$$

for all $f, g \in L^P$ such that $f(p) = a$, $g(p) = b$.

Proposition 5.7. *Let $L \in \mathbf{Lat}$, $P \in \mathbf{P}^{\text{fin}}$. Then:*

(1) *for $\theta \in \text{Con}(L^P)$ and $p \in P$,*

$$[\Gamma'_P(\theta)](p) = \{ (a, b) \in L \times L \mid (f, g) \in \theta \vee \chi_p \\ \text{for some } f, g \in L^P \text{ such that } f(p) = a, g(p) = b \};$$

(2) Γ'_P and Δ'_P *are mutually-inverse order-isomorphisms;*

(3) Γ_P *maps $\text{Comp}(L^P)$ onto $(\text{Comp } L)^{\bar{P}}$.*

Proof. For $a \in L$, let $\bar{a} \in L^P$ denote the constant map with value a .

By Lemma 6, $\Gamma' := \Gamma'_P$ is well-defined, as is $\Delta' := \Delta'_P$; (1) also holds. Both Γ' and Δ' are order-preserving.

Let $\theta \in \text{Con}(L^P)$. Let $f, g \in L^P$. Then

$$\begin{aligned} (f, g) \in (\Delta' \circ \Gamma')(\theta) &\iff (f(p), g(p)) \in [\Gamma'(\theta)](p) \text{ for all } p \in P \\ &\iff (f, g) \in \theta \vee \chi_p \text{ for all } p \in P \\ &\iff (f, g) \in \bigwedge_{p \in P} (\theta \vee \chi_p) \\ &\iff (f, g) \in \theta \vee \bigwedge_{p \in P} \chi_p \\ &\iff (f, g) \in \theta. \end{aligned}$$

Thus $(\Delta' \circ \Gamma')(\theta) = \theta$, so that $\Delta' \circ \Gamma' = \text{id}_{\text{Con}(L^P)}$.

Let $F \in (\text{Con } L)^{\bar{P}}$, $a, b \in L$, $p_0 \in P$. First assume $(a, b) \in F(p_0)$. Then for all $p \in P$, $([m_P(a, b, p_0)](p), [m_P(b, a, p_0)](p)) \in F(p)$, so that

$$(m_P(a, b, p_0), m_P(b, a, p_0)) \in \Delta'(F)$$

and hence

$$(a, b) \in [(\Gamma' \circ \Delta')(F)](p_0).$$

Therefore $F \leq (\Gamma' \circ \Delta')(F)$.

Now assume $(a, b) \in [(\Gamma' \circ \Delta')(F)](p_0)$. Then

$$(f, g) \in \Delta'(F) \vee \chi_{p_0}$$

for all $f, g \in L^P$ such that $f(p_0) = a, g(p_0) = b$. Hence

$$(\bar{a}, \bar{b}) \in \Delta'(F) \vee \chi_{p_0}.$$

Thus for some $n \geq 1$, there exist $f_1, \dots, f_n \in L^P$ such that $\bar{a} = f_1$, $\bar{b} = f_n$, and for $1 \leq i \leq n$,

$$(f_i, f_{i+1}) \in \begin{cases} \Delta'(F) & \text{if } i \text{ odd,} \\ \chi_{p_0} & \text{if } i \text{ even.} \end{cases}$$

Therefore $(a, b) \in F(p_0)$. Hence $(\Gamma' \circ \Delta')(F) \leq F$, so that $(\Gamma' \circ \Delta')(F) = F$. We see that $\Gamma' \circ \Delta' = \text{id}_{(\text{Con } L)^{\bar{P}}}$. Thus Γ' and Δ' are inverse order-isomorphisms, which is (2).

Statement (3) follows from (2) and Lemma 5. ■

Lemma 5.8. *Let $L \in \mathbf{Lat}$, $P, Q \in \mathbf{P}^{\text{fin}}$. Let $\nu: P \rightarrow Q$ be order-preserving. Let $\bar{\nu}: \bar{P} \rightarrow \bar{Q}$ be defined by $\bar{\nu}(p) := \nu(p)$ for all $p \in P$. Define $\mu: L^Q \rightarrow L^P$ by*

$$\mu(f) := f \circ \nu \quad (f \in L^Q).$$

Define $\bar{\mu}: (\text{Comp } L)^{\bar{Q}} \rightarrow (\text{Comp } L)^{\bar{P}}$ by

$$\bar{\mu}(F) := F \circ \bar{\nu} \quad (F \in (\text{Comp } L)^{\bar{Q}}).$$

Then:

(1)

$$\Gamma_P \circ \text{Comp}(\mu) \circ \Delta_Q: (\text{Comp } L)^{\bar{Q}} \rightarrow (\text{Comp } L)^{\bar{P}}$$

is a $\{0\}$ - \vee -homomorphism and equals $\bar{\mu}$;

(2) if ν is surjective, then $\text{Comp } \mu$ is injective.

Proof. By Lemma 4.10, μ and $\bar{\mu}$ are **Lat**- and **Slat**-morphisms, respectively, so

$$\text{Comp}(\mu): \text{Comp}(L^Q) \rightarrow \text{Comp}(L^P)$$

is defined. By Proposition 7, $\Gamma_P \circ \text{Comp}(\mu) \circ \Delta_Q$ is an **Slat**-morphism. Fix $a_0, b_0 \in L, q_0 \in Q$. To prove (1), it suffices to show

$$\left(\Gamma_P \circ \text{Comp}(\mu) \circ \Delta_Q \right)(F) = \bar{\mu}(F)$$

for $F \in (\text{Comp } L)^{\bar{Q}}$ defined by

$$F(q) = \begin{cases} \vartheta^L(a_0, b_0) & \text{if } q = q_0, \\ 0_{\text{Con } L} & \text{if } q \neq q_0. \end{cases}$$

Thus for $f, g \in L^Q$, $(f, g) \in \Delta_Q(F)$ if and only if

- (1) $(f(q_0), g(q_0)) \in \vartheta^L(a_0, b_0)$ and
- (2) $f(q) = g(q)$ for all $q \in Q \setminus \{q_0\}$.

Let

$$\eta := \{ (h, k) \in L^P \times L^P \mid (h(p), k(p)) \in \vartheta^L(a_0, b_0) \text{ for all } p \in \nu^{-1}(q_0) \\ \text{and } h(p) = k(p) \text{ for all } p \in P \setminus \nu^{-1}(q_0) \}.$$

Then $\eta \in \text{Con}(L^P)$ by Proposition 7, and $(\mu \times \mu)[\Delta_Q(F)] \subseteq \eta$.

Assume $\theta \in \text{Con}(L^P)$ and $(\mu \times \mu)[\Delta_Q(F)] \subseteq \theta$. Assume $(a, b) \in \vartheta^L(a_0, b_0)$. Then $(m_Q(a, b, q_0), m_Q(b, a, q_0)) \in \Delta_Q(F)$; therefore

$$(\mu \times \mu)(m_Q(a, b, q_0), m_Q(b, a, q_0)) \in \theta,$$

and so $(m_Q(a, b, q_0) \circ \nu, m_Q(b, a, q_0) \circ \nu) \in \theta$, thus $(a, b) \in [\Gamma'_P(\theta)](p)$ for all $p \in \nu^{-1}(q_0)$. Hence

$$\vartheta^L(a_0, b_0) \subseteq [\Gamma'_P(\theta)](p)$$

for all $p \in \nu^{-1}(q_0)$. If $(h, k) \in \eta$ then

$$(h(p), k(p)) \in [\Gamma'_P(\theta)](p)$$

for all $p \in P$, so $(h, k) \in \theta$. Hence $\eta \subseteq \theta$. We have shown that

$$\eta = (\text{Comp } \mu)(\Delta_Q(F)).$$

Define $G \in (\text{Comp } L)^{\overline{P}}$ as follows: for all $p \in P$,

$$G(p) := \begin{cases} \vartheta^L(a_0, b_0) & \text{if } p \in \nu^{-1}(q_0), \\ 0_{\text{Con } L} & \text{else.} \end{cases}$$

It is clear that $\Delta_P(G) = \eta$. Moreover $G = \bar{\mu}(F)$. Thus (1) holds.

Statement (2) follows from (1) and Lemma 4.10 (2). ■

In [23], p.98 it is stated that **Lat**-embeddings map to **Slat**-embeddings under **Comp**. One may construct a counterexample by considering the five-element non-distributive modular lattice. The statement does hold for the embeddings with which we are concerned, however.

Lemma 5.9. *Let $L \in \mathbf{Lat}$, $P \in \mathbf{P}$. For all $\Pi \in \mathcal{E}_P$, $\text{Comp } \mu_{\Pi}^L$ is injective.*

Proof. Let $\theta^{(1)}, \theta^{(2)} \in \text{Comp}(L^{\Pi})$ be such that

$$(\text{Comp } \mu_{\Pi}^L)(\theta^{(1)}) = (\text{Comp } \mu_{\Pi}^L)(\theta^{(2)}).$$

For some $n \geq 0$,

$$\theta^{(r)} = \bigvee_{i=1}^n \vartheta^{L^{\Pi}}(f_i^{(r)}, g_i^{(r)})$$

for some $f_i^{(r)}, g_i^{(r)} \in L^{\Pi}$ ($i = 1, \dots, n$ and $r = 1, 2$). By Lemma 3,

$$(\text{Comp } \mu_{\Pi}^L)(\theta^{(r)}) = \bigvee_{i=1}^n \vartheta^{L^P}(\mu_{\Pi}^L(f_i^{(r)}), \mu_{\Pi}^L(g_i^{(r)})) \quad (r = 1, 2).$$

By Lemma 1, there exists a finite subset $S \subseteq L^P$ containing $\mu_{\Pi}^L(f_i^{(r)}), \mu_{\Pi}^L(g_i^{(r)})$ ($i = 1, \dots, n$ and $r = 1, 2$) such that if K is a sublattice of L^P containing S , then

$$\bigvee_{i=1}^n \vartheta^K(\mu_{\Pi}^L(f_i^{(1)}), \mu_{\Pi}^L(g_i^{(1)})) = \bigvee_{i=1}^n \vartheta^K(\mu_{\Pi}^L(f_i^{(2)}), \mu_{\Pi}^L(g_i^{(2)})).$$

As S is finite, by Propositions 4.4 and 4.14 there exists $\Pi' \in \mathcal{E}_P$ such that $\Pi' \leq \Pi$ and $S \subseteq \text{Im } \mu_{\Pi'}^L$. As $\mu_{\Pi}^L = \mu_{\Pi'}^L \circ \mu_{\Pi', \Pi}^L$ and $\mu_{\Pi'}^L$ is injective [Lemmas 4.11 (1) and 4.12 (3)],

$$\bigvee_{i=1}^n \vartheta^{L^{\Pi'}}(\mu_{\Pi', \Pi}^L(f_i^{(1)}), \mu_{\Pi', \Pi}^L(g_i^{(1)})) = \bigvee_{i=1}^n \vartheta^{L^{\Pi'}}(\mu_{\Pi', \Pi}^L(f_i^{(2)}), \mu_{\Pi', \Pi}^L(g_i^{(2)})).$$

Hence $(\text{Comp } \mu_{\Pi', \Pi}^L)(\theta^{(1)}) = (\text{Comp } \mu_{\Pi', \Pi}^L)(\theta^{(2)})$. By Lemma 8, $\text{Comp } \mu_{\Pi', \Pi}^L$ is injective, so $\theta^{(1)} = \theta^{(2)}$. ■

The following proof utilizes an idea from [23], pp. 98–100. The theorem, proved independently, appears in [15], Theorem 4.

Theorem 5.10. *Let $L \in \mathbf{Lat}$, $P \in \mathbf{P}$. Then $\text{Comp}(L^P) \cong (\text{Comp } L)^{\bar{P}}$.*

Proof. By Proposition 4.14 (2),

$$\left(L^P, (\mu_{\Pi}^L: L^{\Pi} \rightarrow L^P)_{\Pi \in \mathcal{E}_P} \right)$$

is a filtered limit in **Lat** of the filtered system

$$\left((L^{\Pi})_{\Pi \in \mathcal{E}_P}, (\mu_{\Pi_1, \Pi_2}^L: L^{\Pi_2} \rightarrow L^{\Pi_1})_{\substack{\Pi_1, \Pi_2 \in \mathcal{E}_P \\ \Pi_1 \leq \Pi_2}} \right).$$

Hence

$$\left(\left(\text{Comp}(L^{\Pi}) \right)_{\Pi \in \mathcal{E}_P}, \left(\text{Comp} \mu_{\Pi_1, \Pi_2}^L: \text{Comp}(L^{\Pi_2}) \rightarrow \text{Comp}(L^{\Pi_1}) \right)_{\substack{\Pi_1, \Pi_2 \in \mathcal{E}_P \\ \Pi_1 \leq \Pi_2}} \right)$$

is a filtered system in **Slat**. By Lemma 8 (1), for $\Pi_1, \Pi_2 \in \mathcal{E}_P$ such that $\Pi_1 \leq \Pi_2$,

$$\Gamma_{\Pi_1} \circ \text{Comp}(\mu_{\Pi_1, \Pi_2}^L) \circ \Delta_{\Pi_2} = \mu_{\Pi_1, \Pi_2}^{\text{Comp } L}: (\text{Comp } L)^{\bar{\Pi}_2} \rightarrow (\text{Comp } L)^{\bar{\Pi}_1}.$$

By Proposition 4.15,

$$\left(\left((\text{Comp } L)^{\bar{\Pi}} \right)_{\Pi \in \mathcal{E}_P}, \left(\mu_{\bar{\Pi}_1, \bar{\Pi}_2}^{\text{Comp } L}: (\text{Comp } L)^{\bar{\Pi}_2} \rightarrow (\text{Comp } L)^{\bar{\Pi}_1} \right)_{\substack{\Pi_1, \Pi_2 \in \mathcal{E}_P \\ \Pi_1 \leq \Pi_2}} \right)$$

is a filtered system in **Slat** with filtered limit

$$\left((\text{Comp } L)^{\bar{P}}, (\mu_{\bar{\Pi}}^{\text{Comp } L}: (\text{Comp } L)^{\bar{\Pi}} \rightarrow (\text{Comp } L)^{\bar{P}})_{\Pi \in \mathcal{E}_P} \right).$$

For each $\Pi \in \mathcal{E}_P$, let $f'_{\Pi} := \text{Comp}(\mu_{\Pi}^L) \circ \Delta_{\Pi}: (\text{Comp } L)^{\bar{\Pi}} \rightarrow \text{Comp}(L^P)$. For $\Pi_1, \Pi_2 \in \mathcal{E}_P$ such that $\Pi_1 \leq \Pi_2$,

$$\begin{aligned} f'_{\Pi_1} \circ \mu_{\bar{\Pi}_1, \bar{\Pi}_2}^{\text{Comp } L} &= \text{Comp}(\mu_{\Pi_1}^L) \circ \Delta_{\Pi_1} \circ \Gamma_{\Pi_1} \circ \text{Comp}(\mu_{\Pi_1, \Pi_2}^L) \circ \Delta_{\Pi_2} \\ &= \text{Comp}(\mu_{\Pi_1}^L) \circ \text{Comp}(\mu_{\Pi_1, \Pi_2}^L) \circ \Delta_{\Pi_2} \\ &= \text{Comp}(\mu_{\Pi_1}^L \circ \mu_{\Pi_1, \Pi_2}^L) \circ \Delta_{\Pi_2} \\ &= \text{Comp}(\mu_{\Pi_2}^L) \circ \Delta_{\Pi_2} \\ &= f'_{\Pi_2} \end{aligned}$$

by Lemma 4.12 (3). Hence there exists a unique **Slat**-morphism

$$F: (\text{Comp } L)^{\bar{P}} \rightarrow \text{Comp}(L^P)$$

such that

$$F \circ \mu_{\Pi}^{\text{Comp } L} = \text{Comp}(\mu_{\Pi}^L) \circ \Delta_{\Pi}$$

for all $\Pi \in \mathcal{E}_P$. By Lemma 4.11, $\mu_{\Pi}^{\text{Comp } L}$ is injective for all $\Pi \in \mathcal{E}_P$. If $f_1, f_2 \in (\text{Comp } L)^{\overline{P}}$ and $F(f_1) = F(f_2)$, then by Proposition 4.15 there exist $\Pi \in \mathcal{E}_P$ and $g_1, g_2 \in (\text{Comp } L)^{\overline{\Pi}}$ such that

$$f_i = \mu_{\Pi}^{\text{Comp } L}(g_i) \quad (i = 1, 2).$$

Hence $(\text{Comp}(\mu_{\Pi}^L) \circ \Delta_{\Pi})(g_1) = (\text{Comp}(\mu_{\Pi}^L) \circ \Delta_{\Pi})(g_2)$. By Proposition 7 and Lemma 9, $g_1 = g_2$, so that $f_1 = f_2$. Therefore F is injective.

Now assume $\theta \in \text{Comp}(L^P)$. Then for some $n \geq 0$, there exist $f_1, \dots, f_n, g_1, \dots, g_n \in L^P$ such that

$$\theta = \bigvee_{i=1}^n \vartheta^{L^P}(f_i, g_i).$$

By Proposition 4.14, there exists $\Pi \in \mathcal{E}_P$ such that $f_i, g_i \in \text{Im } \mu_{\Pi}^L$ ($i = 1, \dots, n$). Let $h_i, k_i \in L^{\Pi}$ be such that $f_i = \mu_{\Pi}^L(h_i), g_i = \mu_{\Pi}^L(k_i)$ ($i = 1, \dots, n$). Then

$$\bigvee_{i=1}^n \vartheta^{L^{\Pi}}(h_i, k_i) \in \text{Comp}(L^{\Pi})$$

and by Lemma 3

$$(\text{Comp } \mu_{\Pi}^L) \left(\bigvee_{i=1}^n \vartheta^{L^{\Pi}}(h_i, k_i) \right) = \bigvee_{i=1}^n \vartheta^{L^P}(f_i, g_i) = \theta$$

so that F is surjective. Hence F is an isomorphism. ■

6. The congruence lattice of a Priestley power of a lattice

In this section we determine the structure of the congruence lattice of a Priestley power of a lattice in terms of the lattice and the Priestley space (Theorem 7 and Corollaries 8, 10, and 11). We derive as corollaries the known results that, when the lattice or the space is finite, the problem of §1 has a positive solution (Corollaries 12 and 13).

In §5 we determined the structure of the distributive semilattice of compact congruences of a Priestley power of a lattice. To go from this semilattice to the congruence lattice, we use Stone duality.

Lemma 6.1. *Every trivially ordered Priestley space is a Stone space.*

Proof. Consider a trivially ordered Priestley space. It is homeomorphic to $P(B)$ for some Boolean algebra B . This space has a basis consisting of the sets

$$\{F \in P(B) \mid a \in F\} \quad (a \in B).$$

The map

$$F \mapsto B \setminus F \quad [F \in P(B)]$$

is a bijection from $P(B)$ to $\mathcal{S}(B)$, which has a basis consisting of the sets

$$\{I \in B^\sigma \mid I \text{ prime and } a \notin I\} \quad (a \in B),$$

so that the map is a homeomorphism. ■

Lemma 6.2 ([29], Lemma 6). *The product of sober spaces is sober.*

Lemma 6.3. *Let X and Y be Stone spaces. Then $X \times Y$ is a Stone space with basis $\{U \times V \mid U \in \mathcal{CO}(X), V \in \mathcal{CO}(Y)\} \subseteq \mathcal{CO}(X \times Y)$.*

Proof. Obviously $X \times Y$ is T_0 and has basis

$$\{U \times V \mid U \in \mathcal{CO}(X), V \in \mathcal{CO}(Y)\} \subseteq \mathcal{CO}(X \times Y).$$

By Lemma 2, it is sober. ■

Lemma 6.4. *Let X and Y be Stone spaces and J a set. For all $j \in J$, let $S_j \in \mathcal{CO}(X)$ and $T_j \in \mathcal{CO}(Y)$. Let $R := \bigcup_{j \in J} (S_j \times T_j) \in \mathcal{O}(X \times Y)$. Then:*

(1) *for all $y \in Y$,*

$$\bigcup \{U \in \mathcal{CO}(X) \mid U \times \{y\} \subseteq R\} = \bigcup \{S_j \mid j \in J \text{ and } y \in T_j\};$$

(2) *for all $W \in \mathcal{CO}(X)$,*

$$\left\{ y \in Y \mid W \subseteq \bigcup \{U \in \mathcal{CO}(X) \mid U \times \{y\} \subseteq R\} \right\} \in \mathcal{O}(Y);$$

(3) *for all $y_0 \in Y$,*

$$\bigcap \{T_j \mid j \in J \text{ and } y_0 \in T_j\} \cap \bigcap \{Y \setminus T_j \mid j \in J \text{ and } y_0 \notin T_j\}$$

is a subset of the set of all $y \in Y$ such that

$$\bigcup \{U_1 \in \mathcal{CO}(X) \mid U_1 \times \{y_0\} \subseteq R\} = \bigcup \{U_2 \in \mathcal{CO}(X) \mid U_2 \times \{y\} \subseteq R\}.$$

Proof. (1) Fix $y \in Y$. Let $U \in \mathcal{CO}(X)$ be such that $U \times \{y\} \subseteq R$. Then for all $u \in U$ there exists $j_u \in J$ such that

$$(u, y) \in S_{j_u} \times T_{j_u}.$$

Hence $u \in \{S_j \mid j \in J \text{ and } y \in T_j\}$.

Now assume $j \in J$, $s \in S_j$, and $y \in T_j$. Then $S_j \in \mathcal{CO}(X)$ is such that $S_j \times \{y\} \subseteq R$.

(2) Let $W \in \mathcal{CO}(X)$ and $y_0 \in Y$ be such that

$$W \subseteq \bigcup \{U \in \mathcal{CO}(X) \mid U \times \{y_0\} \subseteq R\}.$$

By (1), for some $n \geq 0$ there exist $j_1, \dots, j_n \in J$ such that

$$W \subseteq \bigcup_{k=1}^n S_{j_k} \quad \text{and} \quad y_0 \in \bigcap_{k=1}^n T_{j_k} =: T.$$

For any $t \in T$,

$$W \subseteq \bigcup_{k=1}^n S_{j_k} \subseteq \bigcup \{U \in \mathcal{CO}(X) \mid U \times \{t\} \subseteq R\}.$$

As $T \in \mathcal{O}(Y)$, (2) follows.

(3) Assume

$$y \in \bigcap \{T_j \mid j \in J \text{ and } y_0 \in T_j\} \cap \bigcap \{Y \setminus T_j \mid j \in J \text{ and } y_0 \notin T_j\}.$$

By (1),

$$\bigcup \{U_1 \in \mathcal{CO}(X) \mid U_1 \times \{y_0\} \subseteq R\}$$

equals

$$\begin{aligned} \bigcup \{S_j \mid j \in J \text{ and } y_0 \in T_j\} &= \bigcup \{S_j \mid j \in J \text{ and } y \in T_j\} \\ &= \bigcup \{U_2 \in \mathcal{CO}(X) \mid U_2 \times \{y\} \subseteq R\}. \end{aligned}$$

■

The next lemma is simple.

Lemma 6.5. *Let X be a Stone space. Then:*

- (1) $\mathcal{O}(X)$ is an algebraic lattice;
- (2) $\mathcal{CO}(X) = \kappa\mathcal{O}(X)$.

After proving the next proposition, the author noted that the first part follows from [12], Theorem II.4.10.

Proposition 6.6. *Let X be a Stone space and Y a trivially ordered Priestley space. Define a map*

$$\Psi: \mathcal{O}(X \times Y) \rightarrow \mathcal{O}(X)_{\Sigma}^Y$$

as follows: for all $R \in \mathcal{O}(X \times Y)$ and $y \in Y$, let

$$[\Psi(R)](y) := \bigcup \{U \in \mathcal{CO}(X) \mid U \times \{y\} \subseteq R\}.$$

Then Ψ is an order-isomorphism. The restriction of Ψ to $\mathcal{CO}(X \times Y)$ maps onto $\mathcal{CO}(X)^Y$.

Proof. By Lemmas 1 and 3, every $R \in \mathcal{O}(X \times Y)$ equals $\bigcup_{j \in J} (S_j \times T_j)$ for some set J and $S_j \in \mathcal{CO}(X)$, $T_j \in \mathcal{CO}(Y)$ ($j \in J$). (If $R \in \mathcal{CO}(X \times Y)$, we may assume J is finite, so that, by Lemma 4 (1) and (3), $\Psi(R) \in \mathcal{CO}(X)^Y$.) By Lemma 4 (2), Ψ is well-defined. It is clearly order-preserving.

Assume $R, S \in \mathcal{O}(X \times Y)$ and $\Psi(R) \leq \Psi(S)$. Assume $(x, y) \in R$. Then there exists $U \in \mathcal{CO}(X)$ such that $x \in U$ and $U \times \{y\} \subseteq R$. Hence

$$U \subseteq [\Psi(R)](y) \subseteq [\Psi(S)](y).$$

Therefore there exists $U_0 \in \mathcal{CO}(X)$ such that $x \in U_0$ and $U_0 \times \{y\} \subseteq S$. Hence $(x, y) \in S$. Therefore $R \subseteq S$ and Ψ is an order-embedding.

Now assume $f \in \mathcal{O}(X)^Y$. Suppose $U \in \mathcal{CO}(X)$, $y \in Y$, and $U \subseteq f(y)$. Then there exists $T_{U,y} \in \mathcal{CO}(Y)$ such that $y \in T_{U,y}$ and $U \subseteq f(t)$ for all $t \in T_{U,y}$. [If $f \in \mathcal{CO}(X)^Y$, let $T_{U,y} := f^{-1}(f(y))$.]

Let

$$R := \bigcup_{y \in Y} \bigcup_{\substack{U \in \mathcal{CO}(X) \\ U \subseteq f(y)}} (U \times T_{U,y}) \in \mathcal{O}(X \times Y).$$

[If $f \in \mathcal{CO}(X)^Y$ and $T_{U,y} = f^{-1}(f(y))$ ($U \in \mathcal{CO}(X)$, $y \in Y$ such that $U \subseteq f(y)$), this set equals $\bigcup_{y \in Y} (f(y) \times f^{-1}(f(y)))$, which may be reduced to a finite union

since $\text{Im } f$ is finite, so belongs to $\mathcal{CO}(X \times Y)$.] By Lemma 4 (1), for all $y \in Y$,

$$[\Psi(R)](y_0) = \bigcup \{ U \in \mathcal{CO}(X) \mid U \subseteq f(y) \\ \text{for some } y \in Y \text{ and } y_0 \in T_{U,y} \}$$

which equals $f(y_0)$. Hence $\Psi(R) = f$, so Ψ is surjective. Therefore Ψ is an order-isomorphism. ■

Theorem 6.7. *Let $L \in \mathbf{Lat}$, $P \in \mathbf{P}$. Then $\text{Con}(L^P) \cong (\text{Con } L)_{\Sigma}^{\bar{P}}$.*

Proof. As $\text{Comp } L \in \mathbf{DSLat}$, there exists a Stone space X such that

$$\mathcal{CO}(X) \cong \text{Comp } L.$$

By Proposition 6, $(\text{Comp } L)^{\bar{P}} \cong \mathcal{CO}(X \times \bar{P})$, where $X \times \bar{P}$ is a Stone space by Lemmas 1 and 3. By Theorem 5.10, $[(\text{Comp } L)^{\bar{P}}]^{\sigma} \cong \text{Con}(L^P)$. By Lemma 5, $\mathcal{CO}(X \times \bar{P})^{\sigma} \cong \mathcal{O}(X \times \bar{P})$. By Proposition 6, $\mathcal{O}(X \times \bar{P}) \cong \mathcal{O}(X)_{\Sigma}^{\bar{P}}$. By Lemma 5 again, $\mathcal{O}(X)_{\Sigma}^{\bar{P}} \cong [(\text{Comp } L)^{\sigma}]_{\Sigma}^{\bar{P}} \cong (\text{Con } L)_{\Sigma}^{\bar{P}}$. Hence $\text{Con}(L^P) \cong (\text{Con } L)_{\Sigma}^{\bar{P}}$. ■

From Corollary 3.7, we get the following.

Corollary 6.8. *Let $L \in \mathbf{Lat}$, $M \in \mathbf{D}$. Then*

$$\text{Con}(L^{P(M)}) \cong \mathbf{Slat} \left(\left(\text{Comp } L, \vee, 0_{\text{Con } L} \right), \left((M_{\text{Bool}})^{\sigma}, \cap, M_{\text{Bool}} \right) \right).$$

Lemma 6.9. *Let $M \in \mathbf{D}$. Then $(M_{\text{Bool}})^{\sigma} \cong \text{Con } M$.*

Proof. Because $P(M_{\text{Bool}})$ is trivially ordered, we have

$$(M_{\text{Bool}})^{\sigma} \cong \mathcal{U} \left(P(M_{\text{Bool}}) \right) \cong \mathcal{O} \left(P(M_{\text{Bool}}) \right) \cong \mathcal{O} \left(P(M) \right) \cong \text{Con } M. \quad \blacksquare$$

Corollary 6.10. *Let $L \in \mathbf{Lat}$, $M \in \mathbf{D}$. Then*

$$\text{Con}(L^{P(M)}) \cong \mathbf{Slat} \left(\left(\text{Comp } L, \vee, 0_{\text{Con } L} \right), \left(\text{Con } M, \cap, 1_{\text{Con } M} \right) \right).$$

The next corollary follows from Corollary 3.7.

Corollary 6.11. *Let $L \in \mathbf{Lat}$, $M \in \mathbf{D}$. Then*

$$\text{Con}(L^{P(M)}) \cong (\text{Con } L)_{\Lambda}^{P(\text{Con } M)}.$$

Corollary 6.12 ([9], Theorem 2.1). *Let L be a lattice and P a finite poset with n elements. Then $\text{Con}(L^P) \cong (\text{Con } L)^n$.*

Proof. As \bar{P} is a discrete space, $(\text{Con } L)_{\Sigma}^{\bar{P}} = (\text{Con } L)^{\bar{P}}$. The result follows from Theorem 7. ■

Corollary 6.13 ([26], Theorem). *Let L be a finite lattice, $M \in \mathbf{D}$. Then $\text{Con}(L^{P(M)}) \cong (\text{Con } L)^{P(\text{Con } M)}$.*

Proof. As $\text{Comp } L$ is finite,

$$\begin{aligned} & \mathbf{Slat}\left((\text{Comp } L, \vee, 0_{\text{Con } L}), (\text{Con } M, \cap, 1_{\text{Con } M})\right) \\ &= \mathbf{Slat}^{\text{fin}}\left((\text{Comp } L, \vee, 0_{\text{Con } L}), (\text{Con } M, \cap, 1_{\text{Con } M})\right). \end{aligned}$$

By Corollary 10, the left-hand side is isomorphic to $\text{Con}(L^{P(M)})$. By Corollary 3.7, the right-hand side is isomorphic to $(\text{Con } L)^{P(\text{Con } M)}$. ■

7. A counterexample

In this section we show that the answer to Schmidt's question (§1) is in general negative. As stated in §1, Grätzer and Schmidt have determined exactly when it has a positive solution ([15], Theorem 3); our results were obtained independently.

Lemma 7.1. *Let S be a chain with 0. Let $T \in \mathbf{D}$. Then*

$$\mathbf{Slat}\left((S, \vee, 0_S), (T^{\sigma}, \cap, T)\right) = \{f \in (T^{\sigma})^{S^{\partial}} \mid f(0_S) = T\}.$$

Proof. Let $f \in \mathbf{Slat}\left((S, \vee, 0_S), (T^{\sigma}, \cap, T)\right)$. Assume $s_1, s_2 \in S$ and $s_1 \leq s_2$. Then $f(s_2) = f(s_1 \vee s_2) = f(s_1) \cap f(s_2)$, so that $f(s_2) \subseteq f(s_1)$.

Now assume $f \in (T^{\sigma})^{S^{\partial}}$. Let $s_1, s_2 \in S$. Without loss of generality $s_1 \leq s_2$. Hence $f(s_1 \vee s_2) = f(s_2)$. As $f(s_2) \subseteq f(s_1)$, we have $f(s_1) \cap f(s_2) = f(s_2)$. Thus $f(s_1 \vee s_2) = f(s_1) \cap f(s_2)$. ■

Corollary 7.2. *Let C be a chain. Let $S := \mathbf{1} \oplus (C^\partial)$, $T \in \mathbf{D}$. Then:*

- (1) $\mathbf{Slat}((S, \vee, 0_S), (T^\sigma, \cap, T)) \cong (T^\sigma)^C$;
- (2) $\mathbf{Slat}^{\text{fin}}((S, \vee, 0_S), (T^\sigma, \cap, T)) \cong \{f \in (T^\sigma)^C \mid \text{Im } f \text{ finite}\}$.

Lemma 7.3. *The poset $\{f \in [\mathcal{P}(\mathbb{N})^\sigma]^\mathbb{N} \mid \text{Im } f \text{ finite}\}$ is not a complete lattice.*

Proof. For all $n_0 \in \mathbb{N}$, define the map

$$f_{n_0}: \mathbb{N} \rightarrow \mathcal{P}(\mathbb{N})^\sigma$$

as follows. For all $n \in \mathbb{N}$,

$$f_{n_0}(n) := \begin{cases} \{\emptyset, \{n_0\}\} & \text{if } n \geq n_0, \\ \{\emptyset\} & \text{if } n < n_0. \end{cases}$$

Then for all $n_0 \in \mathbb{N}$,

$$f_{n_0} \in P := \{f \in [\mathcal{P}(\mathbb{N})^\sigma]^\mathbb{N} \mid \text{Im } f \text{ finite}\}.$$

For $n \in \mathbb{N}$,

$$\left(\bigvee_{[\mathcal{P}(\mathbb{N})^\sigma]^\mathbb{N}} \{f_{n_0} \mid n_0 \in \mathbb{N}\} \right)(n) = \mathcal{P}(\{1, \dots, n\}).$$

Suppose for a contradiction that

$$g := \bigvee_P \{f_{n_0} \mid n_0 \in \mathbb{N}\}$$

exists. Then there exists $k_0 \in \mathbb{N}$ such that $k_0 \leq n$ implies $g(k_0) = g(n)$ ($n \in \mathbb{N}$).

For all $n \in \mathbb{N}$, $\mathcal{P}(\{1, \dots, n\}) \subseteq g(n)$; if $n \geq k_0$, then $\mathcal{P}(\{1, \dots, n\}) \subseteq g(k_0)$.

Define $h: \mathbb{N} \rightarrow \mathcal{P}(\mathbb{N})^\sigma$ as follows: for all $n \in \mathbb{N}$,

$$h(n) := \begin{cases} \mathcal{P}(\{1, \dots, n\}) & \text{if } n \leq k_0, \\ g(k_0) & \text{if } k_0 < n. \end{cases}$$

Then $h \in P$ and for all $n_0 \in \mathbb{N}$,

$$f_{n_0} \leq h.$$

Hence $g \leq h$; but $g(k_0)$ is infinite and $h(k_0)$ is finite, a contradiction. ■

Proposition 7.4. *There exist $L \in \mathbf{Lat}$ and $M \in \mathbf{D}$ such that*

$$\text{Con}(L^{P(M)}) \not\cong (\text{Con } L)^{P(\text{Con } M)}.$$

Proof. Let $M := \mathcal{P}(\mathbb{N})$. Note that $\mathbf{1} \oplus (\mathbb{N}^\partial) = \kappa(\mathbf{1} \oplus (\mathbb{N}^\partial))$. It is well-known that there exists $L \in \mathbf{Lat}$ such that $\text{Con } L \cong \mathbf{1} \oplus (\mathbb{N}^\partial)$ (see, for example, [27], Theorem). Hence $\text{Comp } L \cong \mathbf{1} \oplus (\mathbb{N}^\partial)$.

By Lemma 6.9,

$$(\text{Con } L)^{P(\text{Con } M)} \cong (\text{Con } L)^{P(M^\sigma)}.$$

By Corollary 3.7,

$$\begin{aligned} (\text{Con } L)^{P(M^\sigma)} &\cong \mathbf{Slat}^{\text{fin}}\left((\text{Comp } L, \vee, 0_{\text{Con } L}), (M^\sigma, \cap, M)\right) \\ &\cong \mathbf{Slat}^{\text{fin}}\left((\mathbf{1} \oplus (\mathbb{N}^\partial), \vee, 0), (\mathcal{P}(\mathbb{N})^\sigma, \cap, \mathcal{P}(\mathbb{N}))\right). \end{aligned}$$

By Corollary 2 (2) we have

$$(\text{Con } L)^{P(\text{Con } M)} \cong \{f \in [\mathcal{P}(\mathbb{N})^\sigma]^\mathbb{N} \mid \text{Im } f \text{ finite}\},$$

which is not a complete lattice by Lemma 3, so cannot be isomorphic to a congruence lattice. ■

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